Regulatory T cells may play a protection role in postoperative pulmonary dysfunction in rheumatic heart disease

Xiao-Yang Zhang1,2, Lin Zhou3, Zhen Guo4, Ye Kong5, Wei Zhang5, Li-Ming Lu6, Xin Li7

1Department of Cardiothoracic Surgery, Shanghai Children’s Medical Center, Heart Center, School of Medicine, Shanghai Jiao Tong University, Shanghai 200120, China; 2Shanghai Chest Hospital, Shanghai Jiao Tong University, Shanghai 200030, China; 3Research Center, 4Department of Extracorporeal Circulation, 5Department of Cardiovascular Surgery, Shanghai Chest Hospital, Shanghai Jiao Tong University, Shanghai 200030, China; 6Shanghai Institute of Immunology, School of Medicine, Shanghai Jiao Tong University, Shanghai 200020, China; 7Department of Cardiovascular Surgery, Zhongshan Hospital, Fudan University, Shanghai 200032, China

Contributions: (I) Conception and design: XY Zhang, X Li, LM Lu; (II) Administrative support: L Zhou, Z Guo; (III) Provision of study materials or patients: Y Kong, W Zhang; (IV) Collection and assembly of data: XY Zhang; (V) Data analysis and interpretation: XY Zhang; (VI) Manuscript writing: All authors; (VII) Final approval of the manuscript: All authors.

Background: Postoperative pulmonary dysfunction (PPD) is a common complication observed in patients after cardiac surgery with cardiopulmonary bypass (CPB). The underlying mechanism regulating lung injury after CPB is unclear. However, since the involvement of regulatory T (Treg) cells and T helper 17 (Th17) cells in immune responses has been well established, in this study, we investigated the contribution of these lymphocyte subsets to the development of PPD after CPB.

Methods: Fifty-six rheumatic heart disease (RHD) patients’ blood samples were collected at different time points before and after surgery. The samples were analyzed by flow cytometry to quantify cells and by enzyme-linked immunosorbent assay (ELISA) to measure the cytokine content. In addition, the inhibitory function of Treg cells of ten patients was tested before and after surgery.

Results: We showed that a decreased percentage of Treg cells and reduced Treg/Th17 ratio before anesthesia and after neutralization are meaningful predictors of severe PPD (AUC 0.722, 95% CI: 0.557 to 0.888; 0.787, 95% CI: 0.639 to 0.934; 0.751, 95% CI: 0.593 to 0.919; 0.551, 95% CI: 0.366 to 0.735). Interestingly, both the percentage of Treg cells and their suppressive effect on effector T lymphocyte (Teff) cells were increased after CPB, and both effects may play a protective role in PPD. By contrast, severe PPD was associated with increased IL-17A levels.

Conclusions: The increased proportion of Treg cells in the CD4+ T cell population and higher ratio of Treg/Th17 before anesthesia induction and 30 min after heparin neutralization can partially protect patients from a severe inflammatory response and PPD.

Keywords: Cardiopulmonary bypass (CPB); inflammation; Treg cells; Th17 cells; postoperative pulmonary dysfunction (PPD)

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Introduction

An inflammatory response and ischemic-reperfusion that are mediated by extracorporeal circulation are commonly observed in patients after cardiac surgery and are closely related to each other. The causes of the inflammatory response were initially addressed by Butler et al. in 1993 (1). Later, it was widely recognized that the process of cardiopulmonary bypass (CPB) can activate patients’ major host defense pathways, which can induce coagulation, fibrinolysis, activation of complement, and the release of leukocytes, adhesion molecules, and multiple inflammatory mediators. Eventually, the excessive release of these inflammatory mediators can trigger signaling cascades that may cause organ dysfunction.

The lung is the most vulnerable organ to the inflammatory response and the ischemic-reperfusion injury following CPB (2,3). PPD is the most common complication after cardiac surgery with CPB, which can have variable outcomes, ranging from mild hypoxemia, abnormal gas exchange or even acute respiratory distress syndrome (ARDS). PPD may prolong the length of the ICU stay and lead to increasing morbidity and mortality. According to a database that included 5,798 patients that had undergone cardiac surgery, 9.1% of patients required mechanical ventilation for at least 72 h after surgery. The mortality rate of these cases was 5.5 times higher than those that did not require prolonged ventilation (4).

Many interventions have been proposed to attenuate the inflammatory response after CPB, such as the use of minimized extracorporeal circulation, biocompatible circuit coating, pharmacological intervention, improved surgical and anesthesia techniques and others. These methods have been proved to be effective to some degree. However, recent developments in immunology have indicated that potential injury could be prevented by controlling the balance of pro- and anti-inflammatory responses.

Treg cells are a subpopulation of T cells. A previous study revealed that CD4⁺CD25⁺CD127low Treg cells can accurately represent the functional Treg cells and can be easily detected by flow cytometry (5). Treg cells are highly immunosuppressive and play an important role in self-tolerance by patients with auto-immune disorders, such as allergy, cancer, transplantation and inflammatory diseases.

By contrast, Th17 cells mainly have a pro-inflammatory role, since they mediate the release of the IL-17A, IL-17F, IL-21 and IL-22 cytokines (6). These cytokines are known to up-regulate immune reactions, with IL-17 having the strongest effect. IL-17 receptors are expressed on the surface of epithelial cells (6), and their activation can recruit neutrophils to the site of inflammation to clear infections (7). However, persistent immune responses may lead to aggravation of the inflammatory reaction and cause further tissue damage (8).

Treg and Th17 cells have opposite functions in inflammatory responses, although their origin and differentiation are closely related. Various research publications have reported that the Treg and Th17 cells play a pivotal role in many diseases (9-11). As a significant component of the immune system, we hypothesize that the Treg and Th17 cells are also involved in the occurrence of PPD in RHD patients, and we speculate that the immune condition of patients may predict the severity of PPD.

Methods

Subjects and protocol

This prospective observational study was conducted at the cardiac surgery department at the Shanghai Chest Hospital. Consecutive patients (18≤ age ≤75 years) who met the diagnosis of RHD and were undergoing elective valve replacement or valvoplasty surgery with CPB were recruited for this study. Patients with lung dysfunction before surgery, low left ventricular ejection fraction (EF <35%) before or after surgery, and patients with diabetes, tumor, exogenous hormone therapy, organ dysfunction, emergency operation, and acute infection in combination with coronary bypass grafting were excluded from this study. The primary endpoint of this study was mortality or severe organ dysfunction.

Fifty-six adult patients were recruited for this study between October 2015 and April 2016. Thirty-one patients underwent single valve replacement or valvoplasty surgery, aortic valve replacement (n=12), mitral valve replacement (n=8), tricuspid valve surgery (n=2), mitral valvoplasty (n=8), and tricuspid valvoplasty (n=1); 19 patients underwent double valve repair and/or valvoplasty surgery, mitral and tricuspid valvoplasty (n=5), mitral valve replacement and tricuspid valvoplasty (n=10), aortic valve replacement and tricuspid valvoplasty (n=4); 6 patients underwent aortic and mitral valve replacement and tricuspid valvoplasty surgery.

The severity of PPD at 24 h after surgery was classified in accordance with the Berlin definition (12) for ARDS:

(I) Oxygenation index
    No: PaO₂/FiO₂ ≥300 mmHg (n=21);
Mild: 200 mmHg < PaO\(_2\)/FiO\(_2\) ≤ 300 mmHg (n=27);
Moderate: 100 mmHg < PaO\(_2\)/FiO\(_2\) ≤ 200 mmHg (n=8);
Severe: PaO\(_2\)/FiO\(_2\) ≤ 100 mmHg (n=0).

The worst oxygenation during the first 24 h after surgery was used to determine the occurrence and severity of ARDS.

(I) An additional radiographic evaluation was performed when the OI of patients was lower than 300 mmHg. The presence of bilateral opacities was judged to confirm the diagnosis of ARDS.

(II) The data were collected during the first 24 h after surgery.

(III) Cardiogenic PPD was excluded from this study.

**Data collection and risk score calculation**

Each patient’s demographic information such as age, sex, body mass index (BMI), EF, EuroSCORE II (13), and NYHA class was recorded. In addition, the perioperative laboratory and clinical data, such as CPB time, aortic clamp time, auxiliary circulation time, transfusion volume, lowest Hct, ventilation time, cardiac surgery ICU LOS, and the oxygenation index (PaO\(_2\)/FiO\(_2\)) at 2 h (PaO\(_2\)/FiO\(_2\)-1) and 24 h (PaO\(_2\)/FiO\(_2\)-2) after admission into the ICU were collected.

**Management of CPB and anesthesia**

All patients received the same type of anesthesia. The routine extracorporeal circulation included two venous cannulas, an aortic cannula, a left atrial vent tube, an oxygenator, and a roller pump. Patients received 300 IU/kg of heparin before cannulation of the aorta, and, during the surgery, additional heparin was administered, accordingly, to maintain an activated coagulation time of at least 480 seconds. The CPB was performed under mild hypothermia (35 °C) with the flow rate adjusted to 2.2–2.8 L/(min·m\(^2\)). The cold blood cardioplegia (4 °C) was infused routinely through the aortic root cannula after aortic clamping (except for severe aortic valve regurgitation or aortic root surgery). To prevent thrombosis, heparin (300 U/kg) was used for all patients before cannulation, targeting a 400-s activated clotting time. Additionally, the anticoagulation effect was neutralized by protamine after the removal of cannulas.

**Blood sampling and measurements**

Six milliliters of peripheral blood was withdrawn from each of the 56 patients before anesthetization, 30 minutes after heparin neutralization, and 24, 72, and 120 h after surgery. Plasma was separated from blood cells through centrifugation and stored at −80 °C for measurement of cytokine content. The blood cells were directly used for the isolation of peripheral blood mononuclear cells.

**Flow-cytometric analysis**

The quantity of Treg and Th17 cells was calculated by flow-cytometry. A percentage of Treg and Th17 cells from peripheral blood mononuclear cells was freshly isolated and stored at 37 °C for flow cytometry. Treg cells were stained with FITC-CD4, APC-CD25, and PE-CD127 antibodies (BD, Pharmingen, San Diego, CA). The Th17 cells were stained with FITC-CD3 and APC-CD8 antibodies at first. Cells were then permeabilized and fixed with a fix/perm kit (BD, Pharmingen, San Diego, CA) and stained with PE-IL17A antibody (BD, Pharmingen, San Diego, CA). The differentially labelled cells were sorted and counted with a BD FACSCalibur, and the results were analyzed with the cell analysis FlowJo software. The percentages of Treg and Th17 cells in blood samples were recorded separately as Treg/Th17-1/2/3/4/5 according to the different time points.

**Enzyme-linked immunosorbent assay (ELISA)**

The levels of IL-10 and IL-17A were measured by the hypersensitive ELISA kit (ELISA, ebioscience) according to the manufacturer’s instructions. Additionally, the concentrations of IL-10 and IL-17 in the blood samples were recorded separately as IL-10/IL-17-1/2/3/4/5 according to the different time points.

**Treg inhibitory function assay**

The CD4^+CD25^+ (Treg) cells and the CD4^+CD25^- effective T cells (Teff) cells were collected from the peripheral blood mononuclear cells by the EasySep™ Human CD4^+CD25^+ T Cell Isolation Kit (Stemcell, Technologies, Vancouver, BC, Canada). The Teff cells were incubated with carboxyfluorescein diacetate succinimidyl ester (CFSE) and 5% CO\(_2\) for 15 min at 37 °C. For the Treg suppression analysis, the Teff cells were single cultured and then co-cultured with Treg cells at a ratio of 1:1. The isolated T cells were activated by the CD3/CD28 dynabeads (Thermo, Scientific) and cultured with IL-2 (400 IU/mL) in a total
PRMI-1640 medium. The Teff proliferation rate was measured by the FACSCalibur, and these values were used to represent the Tregs inhibitory function.

**Statistical analysis**

All of the continuous variables are presented as the mean ± SD or median, and the categorical variables are expressed as absolute values. The data were analyzed by GraphPad Prism 5.0 (GraphPad software, San Diego, CA, USA) or SPSS 22.0 (SPSS software, Chicago, USA). For the comparison of data in the no/mild/moderate ARDS groups, a one-way-ANOVA was applied to normally distributed data, and the Kruskal-Wallis test was applied to non-normally distributed data. Correlations were investigated by the Pearson’s (normal distribution variables) or the Spearman’s (non-normal distribution variables) test analysis. The receiver operating curve (ROC) was used to evaluate the diagnostic value of the parameters recorded in this study. All of the tests were two-tailed, and a P value <0.05 was considered statistically significant.

**Results**

**Baseline characteristics and clinical data of patients**

Between October 2015 and May 2016, a total of 56 patients were recruited for this study. *Table 1* shows the basic characteristics and clinical data of patients grouped according to the severity of their lung injury at 24 h after surgery. As shown in *Table 1*, no significant differences were found in gender, BMI, EuroSCORE II, NYHA class and reoperation rate among each group of patients before surgery (P=0.982, 0.145, 0.360, 0.140, 0.369).
The number of Treg and Th17 cells was analyzed by flow cytometry. The percentage of CD4⁺CD25⁺CD127⁺low Treg cells increased while the IL17A⁺Th17 cells decreased after experiencing CPB in almost all patients recruited in the study (Figure 1).

As shown in Table 2, the proportion of Tregs in the total CD4⁺ T cells before anesthesia induction and after heparin neutralization was decreased in patients with severe PPD. By contrast, the ratio of Treg/Th17 before anesthesia was high in patients with milder PPD. The concentration of IL-10 had reduced (36.27%±4.34%) after heparin neutralization. By contrast, patients of older age (P=0.014) and with lower EF (P=0.002) before surgery and longer aortic-clamp time (P=0.213), transfusion rate (P=0.151) and lowest Hct (P=0.965) during surgery were not statistically different. Additionally, the CPB time (P=0.115), auxiliary circulation time (P=0.213), transfusion rate (P=0.151) and lowest Hct (P=0.965) during surgery were not statistically different. By contrast, patients of older age (P=0.014) and with lower EF (P=0.002) before surgery and longer aortic-clamp time (P=0.001) during surgery were more likely to develop severe PPD at 24 h after surgery.

Correlation of the circulating Treg and Th17 cells and their associated cytokine levels with PPD severity

The inhibitory function of Treg cells was determined by measuring the proliferative ability of Teff cells after co-incubation with Treg cells. Our results revealed that the inhibitory function of Treg cells on the proliferation of Teff cells was improved 30 min after heparin neutralization compared to measurements prior to anesthesia induction (Figure 4). Specifically, before anesthesia, a 20.45%±3.78% reduction in the Teff proliferation rate was observed after co-culturing with Treg cells, and this rate was further reduced (36.27%±4.34%) after heparin neutralization.

Discussion

Lung function is the main factor that affects patient’s recovery time after surgery and mortality (14). Although the
Table 2 Comparison of frequency of T reg and Th17 cells, ratio of T reg/Th17 and concentration of IL-10/IL-17 of patients according to the degree of lung injury

<table>
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<th>Variables</th>
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<th></th>
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<td>Treg-1</td>
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<td>Treg-4</td>
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<td>Treg/Th17-1</td>
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<td>7.23±3.30</td>
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<td>Treg/Th17-4</td>
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<td>IL17-2</td>
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<td>63.36±9.20**</td>
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<td>79.30±7.32**</td>
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<td>67.93±10.83**</td>
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<td>13.77±4.60</td>
<td>56.61±9.17*</td>
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Continuous variables with a normal distribution are presented as the mean ± SD; continuous variables with a non-normal distribution are presented as the median (IQR). Categorical variables are present as number (%). P value for the three groups (no, mild, severe lung injury group): *, P<0.05 versus no lung injury group; **, P<0.05 versus mild lung injury group. 1-5 represent different time points: 1, before anesthetization; 2, 30 minutes after heparin neutralization; 3, 24 h after surgery; 4, 72 h after surgery; 5, 120 h after surgery.

Figure 2 Relationship between the frequency of T reg-1/2 with PaO\(_2\)/FiO\(_2\)-2 in patients after surgery. T reg-1, frequency of T reg cells before anesthetization; T reg-2, frequency of T reg cells after 30 min heparin neutralization; PaO\(_2\)/FiO\(_2\)-2, PaO\(_2\)/FiO\(_2\) at 24 h after surgery.
Zhang et al. Treg cells may protect lung from injury underwent CPB

Pathogenesis of inflammation and lung injury is variable, there is sufficient evidence to indicate that inflammatory cells and signaling mediators play a key role in this process (15). In this study, we have focused our interest on investigating the role of the Treg and Th17 cells in lung injury after CPB.

Regulatory T cells maintain self-tolerance and immune homeostasis through their immunosuppressive capabilities. Treg cells' contact-independent suppressive mechanisms are mainly mediated by the production of inhibitory cytokines (e.g., IL-10, TGF-β and IL-35) (16). In addition, these cells can protect tissue from injury through tissue repair mechanisms or by limiting inflammatory responses (17). Treg cells were first discovered by researchers working with the bronchoalveolar lavage fluid of acute lung injury in mice and human patients. A subsequent study demonstrated that Treg cells respond to lung injury by releasing TGF-β and accelerating neutrophil apoptosis (18). However, excessive activation of neutrophils via production of pro-inflammatory cytokines and reactive oxygen species can cause cellular and

**Figure 3** Receiver operating characteristic (ROC) curves for the frequency of Treg-1/2 and the ratio of Treg/Th17-1/2 for predicting lung injury. The AUC demonstrates that Treg-1 measures 0.722 (95% CI: 0.557 to 0.888), Treg-2 measures 0.787 (95% CI: 0.639 to 0.934), Treg/Th17-1 measures 0.751 (95% CI: 0.593 to 0.919), Treg/Th17-2 measures 0.551 (95% CI: 0.366 to 0.735).

**Figure 4** Cell proliferation of T eff of patients before anesthesia and after heparin neutralization. (A,C) With Treg cells; (B,D) without Treg cells.
endothelial damage, which is the main pathogenic factor of lung injury (19,20). Researchers investigating sepsis-induced lung injury reported that the percentage of Treg cells was relatively increased in survivors when compared to dead patients (21,22). Similarly, in our study, we also observed that the increased percentage of Treg cells and the higher ratio of Treg/Th17, before anesthesia and at 30 min after heparin neutralization, correlated with milder PPD after extracorporeal circulation. This protective role of Treg cells could be attributed to the release of IL-10, since it has been shown that this cytokine prevents the overwhelming specific or unspecific immune reactions and tissue damage by inhibiting the production of inflammatory mediators and the presentation of antigens (23). However, our research did not identify any obvious association between the IL-10 levels and the post-CBP PPD, and this is in accordance with an earlier study (22). Nevertheless, the mechanism by which Treg cells are implicated in the development of CPB-mediated PPD requires further investigation.

The Th17 cells are characterized by their ability to release IL-17 cytokines. Inhibition of IL-17 by anti-rat IL-17 antibody after lung multi-trauma alleviated acute lung inflammation (24), supporting a pro-inflammatory role for IL-17, as well as suggesting that it can be a potential therapeutic target for the treatment of lung PPD. In patients with ARDS, the elevated circulation and alveolar levels of IL-17A were correlated with increased alveolar permeability and neutrophil levels (25), suggesting that IL-17A may cause tissue damage through recruitment of neutrophils. In our study, we also found an apparent increase of IL-17A levels in the severe PPD group after extracorporeal circulation. However, there was no correlation between Th17 cells and IL-17A levels, which suggests that IL-17A is also secreted by other types of cells, such as CD8+ T cells, natural killer T (NKT) cells, monocytes, and dendritic cells (DC) (26). Therefore, more research is required to reveal the mechanism of IL-17A, which could potentially lead to the development of a novel therapeutic strategy for the treatment of PPD after CPB.

Prior studies indicated that CPB can promote the differentiation of T-helper (TH) cells. Following CPB, the Th1 cells that mediate pro-inflammatory immune responses were temporally down-regulated, while the Th2 cells, which mediate anti-inflammatory immune responses, were up-regulated (27,28). In our study, we have shown that the percentage of Treg cells in the CD4+ T cell population was slightly increased, unlike that of the Th17 cells, which was decreased. In addition, we have revealed that the inhibitory function of Treg cells on the effector T cell was also improved after CPB. Our results supplement the findings of earlier research studies and further prove that the body is in an immunosuppressive state after CPB surgery. The up-regulated anti-inflammatory response may be the mechanism of the body’s immune system to protect the host from the damage caused by the overwhelming inflammatory response after cardiac surgery with CPB. Furthermore, the body’s immunosuppressive state does not necessarily increase the possibility of infection as has been previously hypothesized.

A surprising finding is that the increased percentage of Treg cells in the CD4+ T cell population and the higher ratio of Treg/Th17 before the induction of anesthesia may be correlated with increased respiratory function after cardiac surgery. The difference of the immune function of patients may correlate with RHD—an autoimmune progressive destructive valvular disorder. Researchers have shown that the function and quantity of Treg cells in RHD patients are not only related to a person’s health but are also different among patients (29,30). Possibly, the stronger the immune regulation capability possessed by the patient, the less damage the lung will suffer after cardiac surgery. This could explain why patients with no difference in preoperative risk assessment and that had experienced almost the same procedure suffered from different degrees of PPD. Additionally, the Berlin definition of ARDS can estimate the severity of the respiratory condition of patients sufficiently well (12) and can be used to guide the clinical treatment and predict the mortality rate to some degree. By contrast, our study found that the higher percentage of Treg cells in CD4+ T cells, which play an immunosuppressive role in the immune system before cardiac surgery, may well predict the degree of PDD. However, due to limitations of patient numbers, this should be investigated further; therefore, more research is required in the future.

Conclusions

In summary, Treg cells play a protective role in PPD in RHD patients undergoing cardiac surgery with CPB. The higher proportion of Treg cells in the total CD4+ T cell population and higher Treg/Th17 ratio before anesthesia induction and 30 min after heparin neutralization can partially protect patients from a severe inflammatory response and lung injury. Treg cell quantification can be beneficial for predicting and treating lung injury after CPB-mediated cardiac surgery.
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Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare

Ethical Statement: Ethical approval for this clinical trial was obtained from the Clinical Trial Institute of the Shanghai Chest Hospital. All the procedures performed in the study that involved human participants were in accordance with the ethical standards of the institutional and national research committee and in compliance with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

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