

# Outcomes of surgical aortic valve replacement for mixed aortic valve disease

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**Background:** Mixed aortic valve disease (MAVD) is associated with a poorer natural history compared with isolated lesions. However, clinical and echocardiographic outcomes for aortic valve replacement (AVR) in mixed disease are less well understood.

**Methods:** Retrospective review of AVRs (n=1,011) from 2000–2016. Isolated AVR, AVR + coronary bypass, and AVR + limited ascending aortic replacement were included. Predominant aortic stenosis (AS) group was stratified into group 1 (n=660) with concomitant mild or less aortic insufficiency (AI), and group 2 (n=197) with accompanying moderate or greater AI. Predominant AI group was stratified using the same schema for concomitant AS into groups 3 (n=143) and 4 (n=53). Median follow-up was 3.1 and 4.4 years respectively for AS and AI groups.

**Results:** For the predominant AS group (n=857) preoperatively, group 2 had a larger preoperative left ventricular end diastolic diameter (LVESD) (51.0±8.4 vs. 48.6±7.2, P=0.02) and lower preoperative left ventricular ejection fraction (LVEF) (57.6% vs. 60.2%, P=0.043). No differences in left ventricular (LV) dimensions, LV or right ventricular (RV) function was evident at follow up (P>0.05). After propensity matching for age, operation, and comorbidities, there was no difference in survival (P=0.19). After propensity matching for the predominant AI group (n=196), survival was lower for group 4 compared to 3 (P=0.02). There were no differences in LV dimensions, LV or RV function preoperatively or on follow-up (P>0.05).

**Conclusions:** Predominant AS associated with higher AI grades had larger LV dimensions and worse LV function preoperatively. These differences resolve after AVR with equivalent survival. However, predominant AI with more severe AS had reduced survival despite AVR.

**Keywords:** Aortic valve (AV); replacement; heart failure; heart valves; multiple; outcomes

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## Introduction

Mixed aortic valve disease (MAVD) is associated with a high rate of disease progression with 42% and 30% freedom from the composite end points of aortic valve replacement (AVR), NYHA III/IV symptoms, and death at 3 and 5 years respectively (1,2). Indeed, the natural history outcomes of mixed moderate aortic valve (AV) disease were similar to isolated severe AV disease in terms of progression to AVR, NYHA III/IV symptoms, and cardiac death. This moderate MAVD therefore has a poorer prognosis than isolated moderate aortic stenosis (AS) or aortic insufficiency (AI) (1). Zilbersac *et al.* demonstrated a similarly high event rate with event free survival (AVR and cardiac death) as low as 19% at 6 years for MAVD where both lesions were moderate or greater (3).

Approximately 50% of asymptomatic patients with severe MAVD will require AVR within 1 year (4). As suggested by Parker *et al.*, this is not surprising due to the dual volume and pressure strain on the heart (5). Although we are gaining a better understanding of the aggressive course of MAVD, there are currently no evidence-based recommendations to guide timing of surgical AVR in this population (3,6). In general, surgical intervention is often guided by established recommendations for the predominate lesion (3,6,7). Egbe *et al.* showed that in MAVD with moderate or severe AS and AI, a greater degree of pre-operative left ventricular hypertrophy (LVH) is associated with more post-operative left ventricular (LV) dysfunction and cardiac adverse events (8,9). This study seeks to further define the long term clinical and echocardiographic outcomes for AVR in MAVD versus more isolated AV lesions.

## Methods

### Patients

This study was approved by the University of Wisconsin-Madison Institutional Review Board (No. 2015-1050). A waiver of the need to obtain consent from patients was approved. We conducted a retrospective review of 1,011 consecutive patients who underwent AVR between January 2000 to March 2016. We excluded patients who underwent concomitant operations except for coronary artery bypass grafting (CABG) and/or limited ascending aortic replacement. We excluded aortic surgeries involving the root, or aortic arch, as well as procedures involving hypothermic circulatory arrest.

We identified patients who underwent AVR for predominantly AS or AI where associated stenosis or

insufficiency are not greater in severity than the designated predominant lesion. The predominant AS population with severe or moderate stenosis was stratified into group 1 (n=660) with concomitant AI that was mild or less, and group 2 (n=197) with accompanying moderate or severe AI. Similarly, the predominant AI group with severe to moderate AI was stratified using the same schema into group 3 (n=143) with concomitant AS that was mild or less, and group 4 (n=53) with accompanying moderate or severe AS.

### Follow up

Survival data was available for all 1,100 patients with AVR. Mid-term survival data was obtained through detailed clinical follow-up. For the predominantly AS group maximum imaging follow-up was 16.5 years with a total follow up of 3,384.3 patient years and a median follow up of 3.1 (IQR =5.4) years. The predominant AI group maximum imaging follow-up was 16.8 years with a total follow up of 1,025.1 patient years and a median follow up of 4.4 (IQR =7.0) years.

### Statistical methods

A Pearson chi square test or Fisher's exact test was used to analyze categorical variables. Independent and paired Student's *t*-test was used to compare continuous variables. Kaplan-Meier Survival analysis with Mantel-Cox statistics was used to analyze survival data. Life expectancy was calculated using life table analysis. The year of operation is compared with the median test.

A logistical regression of covariates was used to produce a propensity score for patients with predominantly moderate or severe AS where associated insufficiency was either mild or less (group 1), or moderate and severe (group 2). Similarly, propensity score calculation was performed for the predominant AI population for groups 3 and 4. Then 1:1 greedy matching algorithm was performed where the matched variables included age, sex, preoperative creatinine, left ventricular ejection fraction (LVEF), hypertension, cerebrovascular disease, peripheral vascular disease, lung disease, liver disease, diabetes, hyperlipidemia, history of endocarditis, and cancer within 5 years of surgery. A Cox proportional hazards model using univariate as well as stepwise forward and reverse multivariable analysis was performed to determine the predictors of mortality. Statistics were performed using Statistical Package for the

**Table 1** Patient demographics in propensity matched predominant AS study population

| Variable                             | Group 1: isolated AS (n=195) | Group 2: AS + moderate or greater AI (n=195) | P value |
|--------------------------------------|------------------------------|--|---------|
| Age (y)                              | 69.1±12.1                    | 68.0±13.3                                    | 0.389   |
| Sex (female) (%)                     | 64 (32.8)                    | 61 (31.3)                                    | 0.745   |
| Weight (kg)                          | 88.4±20.8                    | 84.7±18.0                                    | 0.060   |
| Height (cm)                          | 59.0±12.2                    | 56.8±13.5                                    | 0.528   |
| BMI                                  | 30.5±6.4                     | 29.0±5.7                                     | 0.019   |
| Preoperative creatinine (mg/dL)      | 1.1±0.6                      | 1.2±0.7                                      | 0.225   |
| LVEF (%)                             | 59.0±12.2                    | 56.8±13.5                                    | 0.096   |
| Hypertension (%)                     | 138 (70.8)                   | 143 (73.3)                                   | 0.573   |
| Endocarditis (%)                     | 4 (2.1)                      | 3 (1.5)                                      | 0.703   |
| Cerebrovascular disease (%)          | 27 (13.8)                    | 37 (19.0)                                    | 0.172   |
| Peripheral vascular disease (%)      | 32 (16.4)                    | 29 (14.9)                                    | 0.676   |
| Lung disease (%)                     | 60 (30.8)                    | 70 (35.9)                                    | 0.283   |
| Liver disease (%)                    | 5 (2.6)                      | 2 (1.0)                                      | 0.253   |
| Diabetes (%)                         | 55 (28.2)                    | 46 (23.6)                                    | 0.298   |
| Hyperlipidemia (%)                   | 140 (71.8)                   | 128 (65.6)                                   | 0.190   |
| Cancer within 5 years of surgery (%) | 10 (5.1)                     | 3 (1.5)                                      | 0.087   |
| Atrial fibrillation, flutter (%)     | 6 (3.1)                      | 4 (2.1)                                      | 0.522   |
| CAD (%)                              | 101 (51.8)                   | 104 (53.3)                                   | 0.761   |
| Prior myocardial infarction (%)      | 31 (15.9)                    | 40 (20.5)                                    | 0.238   |
| Previous PCI (%)                     | 23 (11.8)                    | 18 (9.2)                                     | 0.409   |
| Previous CABG (%)                    | 20 (10.3)                    | 15 (7.7)                                     | 0.376   |

AS, aortic stenosis; AI, aortic insufficiency; BMI, body mass index; LVEF, left ventricular ejection fraction; CAD, coronary artery disease; PCI, percutaneous coronary intervention; CABG, coronary artery bypass grafting.

Social Sciences software (SPSS Inc., Chicago, IL, USA).

## Results

### *Patient demographics, operative parameters and outcomes*

Compared with group 2, the predominant AS group 1 population was older (70.0±10.7 *vs.* 67.9±13.4 yrs, *P*=0.041), had higher LVEF (59.6±12.6 *vs.* 56.7±13.5 yrs, *P*=0.008), more diabetes (35.0% *vs.* 23.9%, *P*=0.003), more hyperlipidemia (75.8% *vs.* 65.0%, *P*=0.003), but a lower incidence of endocarditis history (0.6% *vs.* 2.5%, *P*=0.020). There were 195 patients in each group following propensity matching with comparable demographics and comorbidities (Table 1). There were no differences in concomitant operations performed for the 2 groups (Table 2). However,

group 2 has a greater duration of cardiopulmonary bypass (CPB) (150.5±55.2 *vs.* 163.5±57.5, *P*=0.025) and aortic cross-clamp time (101.7±37.9 *vs.* 110.3±41.0 min, *P*=0.042). There were no differences in postoperative complications including reoperation for bleeding, neurological events, pneumonia, prolonged ventilation, gastrointestinal complication, acute renal failure, permanent pacemaker implant, postoperative atrial fibrillation, or postoperative hospital stay (*P*>0.05).

Conversely, compared with group 4, the predominant AI group 3 population was younger (56.4±15.6 *vs.* 61.7±16.3 yrs, *P*=0.037), and a higher incidence of endocarditis history (32.2% *vs.* 3.8%, *P*<0.001). Following propensity matching, there were 53 patients in each group with similar demographics and comorbidities (Table 3).

**Table 2** Operative parameters in propensity matched predominant AS study population

| Variable                              | Group 1: Isolated AS (n=195) | Group 2: AS + moderate or greater AI (n=195) | P value |
|---------------------------------------|------------------------------|--|---------|
| Concomitant CABG only                 | 61 (31.3%)                   | 71 (36.4%)                                   | 0.285   |
| Concomitant aortic repair only        | 10 (5.1%)                    | 13 (6.7%)                                    | 0.519   |
| Concomitant aortic repair & CABG      | 2 (1%)                       | 7 (3.6%)                                     | 0.092   |
| CPB time (min)                        | 150.5±55.2                   | 163.5±57.5                                   | 0.025   |
| Cross-clamp time (min)                | 101.7±37.9                   | 110.3±41.0                                   | 0.042   |
| Pre or postoperative IABP             | 8 (4.1%)                     | 4 (2.1%)                                     | 0.241   |
| Urgent/emergent cases (within 48 hrs) | 26 (13.3%)                   | 31 (15.9%)                                   | 0.474   |

AS, aortic stenosis; AI, aortic insufficiency; CABG, coronary artery bypass grafting; CPB, cardiopulmonary bypass; IABP, intraaortic balloon pump.

There were no differences in concomitant operations performed for the 2 groups (*Table 4*). Group 4 had a greater duration of CPB ( $149.3 \pm 52.0$  vs.  $191.9 \pm 81.7$ ,  $P=0.002$ ) and aortic cross-clamp time ( $101.9 \pm 34.3$  vs.  $129.1 \pm 53.2$  min,  $P=0.006$ ). There were no differences in postoperative complications including reoperation for bleeding, neurological events, pneumonia, prolonged ventilation, gastrointestinal complication, acute renal failure, permanent pacemaker implant, postoperative atrial fibrillation, or postoperative hospital stay ( $P>0.05$ ).

### ***Echocardiographic outcomes in MAVD***

In the predominant AS group, the median echocardiographic follow up for groups 1 and 2 respectively were 1.926 yrs (IQR =4.72) and 1.770 yrs (IQR =4.65) ( $P=0.561$ ). There were no differences in AV mean gradient, AV peak velocity, aortic valve area (AVA), or indexed AVA between the two groups (*Table 5*,  $P>0.05$ ). Other echocardiographic findings are summarized in *Table 6*. Both groups had a reduction in left ventricular end diastolic diameter (LVEDD) (group 1:  $48.6 \pm 7.2$  vs.  $46.6 \pm 7.3$  mm,  $P<0.001$  and group 2:  $50.9 \pm 8.4$  vs.  $46.9 \pm 7.4$  mm,  $P<0.001$ ) after AVR although initial preoperative LVEDD was larger in group 2 ( $P=0.022$ ). No difference in postoperative LVEDD was seen after AVR ( $P=0.756$ ). After AVR, both group 1 and 2 had a decrease in LV posterior wall (PW) thickness (group 1,  $P<0.001$ ; group 2,  $P=0.002$ ), decrease in interventricular septal (IVS) thickness (group 1,  $P=0.002$ ; group 2,  $P=0.005$ ), and reduction in mitral regurgitation (group 1,  $P<0.001$ ; group 2,  $P<0.001$ ). However, right ventricular (RV) function worsened over time in both group 1 ( $P<0.001$ ) and 2 ( $P=0.030$ ). Preoperative magnetic

resonance (MR) was more severe in group 2 ( $P<0.001$ ) but this difference resolved following AVR ( $P=0.750$ ). LVEF did not improve significantly following AVR for Group 1 ( $P=0.128$ ), but improved for group 2 with more severe associated AI ( $P=0.033$ ). Group 2 had a lower preoperative LVEF ( $P=0.043$ ), but no difference in postoperative LVEF was seen after AVR ( $P=0.469$ ).

The median echocardiographic follow up the predominant AI groups 3 and 4 respectively were 3.6 yrs (IQR =6.61) and 1.2 yrs (IQR =4.0) ( $P=0.004$ ). As expected, compared with group 3, group 4 had more severe AS with higher AV mean gradient and peak AV velocity as well as lower AVA and indexed AVA (*Table 5*,  $P<0.001$ ). Other echocardiographic findings are summarized in *Table 7*. Reduced LV size after AVR was demonstrated in both group 3 (LVEDD,  $P<0.001$ ; LVESD  $P=0.001$ ), and 4 (LVEDD,  $P=0.001$ ; LVESD,  $P=0.083$ ). There was no difference between group 3 or group 4 in pre ( $P=0.111$ ) or postoperative ( $P=0.238$ ) LVEDD. Compared with group 3 however, group 4 had a thicker preoperative PW ( $P=0.041$ ) and preoperative IVS ( $P=0.003$ ), but no difference was seen in PW ( $P=0.719$ ) or IVS ( $P=0.682$ ) thickness after AVR. In both groups, there was a reduction in mitral regurgitation (group 3,  $P<0.001$ ; group 4,  $P=0.002$ ). After AVR, there was no change in RV function in group 3 ( $P=0.697$ ), but there was a trend toward worsening RV function ( $P=0.084$ ) in group 4 with a greater degree of associated AS. Pre and postoperative LVEF did not change significantly for either group 3 (0.571), or group 4 ( $P=0.741$ ).

### ***Patient survival***

For the predominant AS group, there were no differences

**Table 3** Patient demographics in propensity matched predominant AI study population

| Variable                         | Group 3: isolated AI (n=53) | Group 4: AI + moderate or greater AS (n=53) | P value |
|----------------------------------|-----------------------------|---|---------|
| Age (y)                          | 57.6±16.2                   | 61.7±16.3                                   | 0.197   |
| Sex (female)                     | 10 (18.9%)                  | 15 (28.3%)                                  | 0.253   |
| Weight (kg)                      | 89.3±18.4                   | 89.4±16.8                                   | 0.970   |
| Height (cm)                      | 174.6±9.5                   | 172.6±9.7                                   | 0.272   |
| BMI                              | 29.1±4.6                    | 30.1±5.8                                    | 0.309   |
| Preoperative creatinine (mg/dL)  | 1.2±0.6                     | 1.3±0.9                                     | 0.330   |
| LVEF (%)                         | 51.1±12.4                   | 56.0±12.4                                   | 0.050   |
| Hypertension                     | 34 (64.2%)                  | 40 (75.5%)                                  | 0.204   |
| Endocarditis                     | 2 (3.8%)                    | 2 (3.8%)                                    | 1.0     |
| Cerebrovascular disease          | 6 (11.3%)                   | 8 (15.1%)                                   | 0.566   |
| Peripheral vascular disease      | 13 (24.5%)                  | 7 (13.2%)                                   | 0.136   |
| Lung disease                     | 15 (28.3%)                  | 16 (30.2%)                                  | 0.831   |
| Liver disease                    | 0 (0%)                      | 1 (1.9%)                                    | 0.315   |
| Diabetes                         | 4 (7.5%)                    | 14 (13.2%)                                  | 0.085   |
| Hyperlipidemia                   | 31 (58.5%)                  | 34 (64.2%)                                  | 0.550   |
| Cancer within 5 years of surgery | 2 (3.8%)                    | 2 (3.8%)                                    | 1.0     |
| Atrial fibrillation, flutter     | 1 (1.9%)                    | 2 (3.8%)                                    | 0.558   |
| CAD                              | 17 (32.1%)                  | 27 (50.9%)                                  | 0.076   |
| Prior myocardial infarction      | 6 (11.3%)                   | 20 (18.9%)                                  | 0.081   |
| Previous PCI                     | 6 (11.3%)                   | 7 (13.2%)                                   | 0.767   |
| Previous CABG                    | 2 (3.8%)                    | 3 (5.7%)                                    | 0.647   |

AS, aortic stenosis; AI, aortic insufficiency; BMI, body mass index; LVEF, left ventricular ejection fraction; CAD, coronary artery disease; PCI, percutaneous coronary intervention; CABG, coronary artery bypass grafting.

**Table 4** Operative parameters in propensity matched predominant AI study population

| Variable                             | Group 3: isolated AI (n=53) | Group 4: AI + moderate or greater AS (n=53) | P value |
|--------------------------------------|-----------------------------|---|---------|
| Concomitant CABG only                | 11 (20.8%)                  | 18 (34.0%)                                  | 0.127   |
| Concomitant aortic repair only       | 9 (17%)                     | 6 (11.3%)                                   | 0.403   |
| Concomitant aortic repair & CABG     | 0 (0%)                      | 3 (5.7%)                                    | 0.079   |
| CPB time (min)                       | 149.3±52.0                  | 191.9±81.7                                  | 0.002   |
| Cross-clamp time (min)               | 101.9±34.3                  | 129.1±53.2                                  | 0.006   |
| Pre or postoperative IABP            | 1 (1.9%)                    | 3 (5.7%)                                    | 0.308   |
| Urgent/emergent cases (within 48hrs) | 3 (5.7%)                    | 8 (15.1%)                                   | 0.111   |

AS, aortic stenosis; AI, aortic insufficiency; CABG, coronary artery bypass grafting; CPB, cardiopulmonary bypass; IABP, intraaortic balloon pump.

**Table 5** Echocardiographic follow-up of aortic valve parameters

| Variable                                     | AV mean gradient (mmHg) | Peak AV velocity (cm/s) | AVA (cm <sup>2</sup> ) | Indexed AVA (cm <sup>2</sup> /m <sup>2</sup> ) |
|--|-------------------------|-------------------------|------------------------|--|
| Predominant AS study population              |                         |                         |                        |  |
| Group 1: isolated AS (n=545)                 | 45.7±15.1               | 425.2±85.9              | 0.8±0.3                | 0.4±0.2  |
| Group 2: AS + moderate or greater AI (n=156) | 46.9±17.7               | 472.5±436.2             | 0.9±0.5                | 0.4±0.2  |
| P value                                      | 0.410                   | 0.196                   | 0.611                  | 0.916  |
| Predominant AI study population              |                         |                         |                        |  |
| Group 3: isolated AI (n=53)                  | 14.3±9.9                | 210.0±68.5              | 2.9±1.6                | 1.4±0.9  |
| Group 4: AI + moderate or greater AS (n=43)  | 39.0±20.4               | 397.1±100.6             | 1.1±0.5                | 0.5±0.2  |
| P value                                      | <0.001                  | <0.001                  | <0.001                 | <0.001   |

AS, aortic stenosis; AI, aortic insufficiency; AV, aortic valve; AVA, aortic valve area.

**Table 6** Echocardiographic parameters for predominant AS study population

| Variable                             | Preoperative echo |                 | Last postoperative echo |                 | P value |
|--------------------------------------|-------------------|-----------------|-------------------------|-----------------|---------|
| Group 1: isolated AS                 |                   |                 |                         |                 |         |
| LVEDD (mm) (n=270)                   | 48.6±7.2          |                 | 46.6±7.3                |                 | <0.001  |
| LVESD (mm) (n=261)                   | 31.5±8.2          |                 | 31.5±10.5               |                 | 0.998   |
| LVPW (mm) (n=267)                    | 11.4±2.3          |                 | 10.7±2.1                |                 | <0.001  |
| IVS (mm) (n=262)                     | 12.2±2.7          |                 | 11.7±2.3                |                 | 0.002   |
| LVEF (n=434)                         | 60.2±12.4         |                 | 61.1±11.3               |                 | 0.128   |
| RV dysfunction (n=337)               | Mild or less      | Moderate-severe | Mild or less            | Moderate-severe | <0.001  |
| Frequency (n)                        | 331 (98%)         | 6 (2%)          | 315 (93%)               | 22 (7%)         |         |
| MR grade (n=426)                     | Mild or less      | Moderate-severe | Mild or less            | Moderate-severe | 0.261   |
| Frequency (n)                        | 381 (89%)         | 45 (11%)        | 373 (88%)               | 53 (12%)        |         |
| Group 2: AS + moderate or greater AI |                   |                 |                         |                 |         |
| LVEDD (mm) (n=70)                    | 50.9±8.4          |                 | 46.9±7.4                |                 | <0.001  |
| LVESD (mm) (n=69)                    | 34.0±8.9          |                 | 31.9±8.7                |                 | 0.059   |
| LVPW (mm) (n=68)                     | 12.0±2.2          |                 | 11.0±2.2                |                 | 0.002   |
| IVS (mm) (n=65)                      | 13.0±2.7          |                 | 11.8±2.8                |                 | 0.005   |
| LVEF (n=125)                         | 57.6±12.9         |                 | 60.2±12.4               |                 | 0.048   |
| RV dysfunction (n=94)                | Mild or less      | Moderate-severe | Mild or less            | Moderate-severe | 0.647   |
| Frequency (n)                        | 91 (97%)          | 3 (3%)          | 86 (91%)                | 8 (9%)          |         |
| MR grade (n=117)                     | Mild or less      | Moderate-severe | Mild or less            | Moderate-severe | 0.647   |
| Frequency (n)                        | 99 (85%)          | 18 (15%)        | 101 (86%)               | 16 (14%)        |         |

AS, aortic stenosis; AI, aortic insufficiency; LVEDD, left ventricular end diastolic diameter; LVESD, left ventricular end systolic diameter; LVPW, left ventricular posterior wall; IVS, interventricular septum; LVEF, left ventricular ejection fraction; RV, right ventricular; MR, magnetic resonance.

**Table 7** Echocardiographic parameters for predominant AI study population

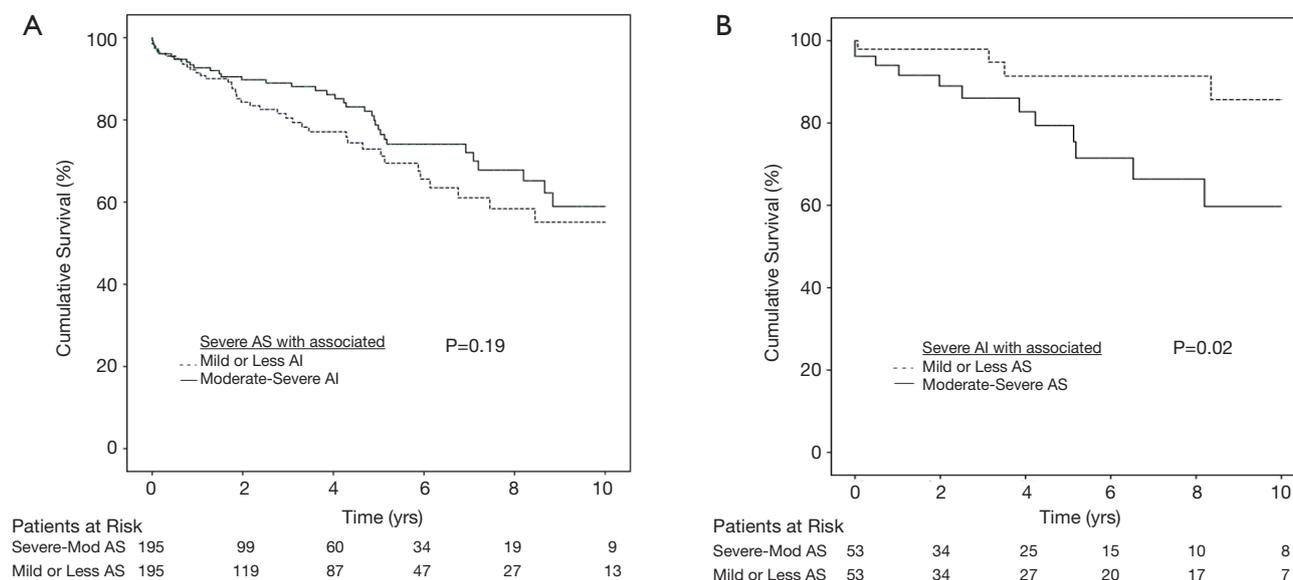
| Variable                             | Preoperative echo |                 | Last postoperative echo |                 | P value |
|--------------------------------------|-------------------|-----------------|-------------------------|-----------------|---------|
| Group 3: Isolated AI                 |                   |                 |                         |                 |         |
| LVEDD (mm) (n=59)                    | 61.1±10.8         |                 | 51.3±9.0                |                 | <0.001  |
| LVESD (mm) (n=58)                    | 42.5±10.7         |                 | 37.0±11.8               |                 | <0.001  |
| LVPW (mm) (n=54)                     | 10.1±2.4          |                 | 10.9±1.9                |                 | 0.027   |
| IVS (mm) (n=53)                      | 10.7±2.5          |                 | 11.3±2.5                |                 | 0.095   |
| LVEF (n=103)                         | 55.5±12.4         |                 | 56.2±13.9               |                 | 0.571   |
| RV dysfunction (n=87)                | Mild or less      | Moderate-severe | Mild or less            | Moderate-severe | 0.389   |
| Frequency (n)                        | 81 (93%)          | 6 (7%)          | 81 (80%)                | 6 (7%)          |         |
| MR grade(n=101)                      | Mild or less      | Moderate-severe | Mild or less            | Moderate-severe | 0.011   |
| Frequency (n)                        | 87 (86%)          | 14 (14%)        | 90 (89%)                | 11 (11%)        |         |
| Group 4: AI + moderate or greater AS |                   |                 |                         |                 |         |
| LVEDD (mm) (n=18)                    | 57.106±8.622      |                 | 48.5±6.0                |                 | 0.001   |
| LVESD (mm) (n=17)                    | 38.153±9.116      |                 | 33.9±5.39               |                 | 0.083   |
| LVPW (mm) (n=18)                     | 11.267±2.263      |                 | 11.1±2.1                |                 | 0.512   |
| LVS (mm) (n=18)                      | 12.911±2.873      |                 | 11.6±2.9                |                 | 0.094   |
| LVEF (n=36)                          | 57.03±11.134      |                 | 57.6±11.5               |                 | 0.741   |
| RV dysfunction (n=25)                | Mild or less      | Moderate-severe | Mild or less            | Moderate-severe | 0.084   |
| Frequency (n)                        | 25 (100%)         | 0 (0%)          | 23 (92%)                | 2 (8%)          |         |
| MR grade (n=36)                      | Mild or less      | Moderate-severe | Mild or less            | Moderate-severe | 0.019   |
| Frequency (n)                        | 26 (72%)          | 10 (28%)        | 33 (92%)                | 3 (8%)          |         |

AS, aortic stenosis; AI, aortic insufficiency; LVEDD, left ventricular end diastolic diameter; LVESD, left ventricular end systolic diameter; LVPW, left ventricular posterior wall; IVS, interventricular septum; LVEF, left ventricular ejection fraction; RV, right ventricular; MR, magnetic resonance.

in survival respectively in the unadjusted ( $P=0.389$ ), and the propensity matched population ( $P=0.191$ , *Figure 1A*). For the propensity matched population, the 3 months, 6 months, 1 year, 2 years, 5 years, and 10 years' survival respectively were 96.2%, 95.5%, 91.5%, 84.3%, 72.9% and 55.1% for group 1, and 99.0%, 94.7%, 92.7%, 77.6%, and 58.9% for group 2. Cox proportional hazards regression revealed increased hazard ratios (HR) associated with increasing age [HR 1.38 (1.022–1.054),  $P<0.001$ ], higher preoperative creatinine [HR 1.339 (1.225–1.463),  $P<0.001$ ], cerebrovascular disease [HR 1.912 (1.384–2.640),  $P<0.001$ ], and increasing NYHA class [HR 1.427 (1.203–1.693),  $P<0.001$ ]. Neither group 1 *vs.* 2 ( $P=0.429$ ), nor operating surgeon ( $P=0.103$ ) was a predictor of mortality. The 30-day operative mortality in this group was 2.0%.

In the predominant AI group, group 4 with more severe

associated AS experienced worse survival for both the unadjusted ( $P=0.030$ ) and propensity matched population ( $P=0.024$ , *Figure 1B*). For the propensity matched population, the 3 months, 6 months, 1 year, 2 years, 5 years and 10 years' survival respectively were 98.0%, 98.0%, 91.4% and 85.7% for group 3, and 96.2%, 94.0%, 79.4% and 59.7% for group 4. Cox proportional hazards regressive revealed increased HR associated with increasing age [HR 1.36 (1.008–1.064),  $P=0.010$ ], higher preoperative creatinine [HR 1.356 (1.171–1.571),  $P<0.001$ ], group 4 has higher hazard *vs.* group 3 [HR 2.039 (0.939–4.428),  $P=0.072$ ], Peripheral vascular disease [HR 2.467 (1.079–5.638),  $P=0.032$ ], previous percutaneous coronary intervention (PCI) [HR 3.294 (1.195–9.081),  $P=0.021$ ] and increasing NYHA class [HR 1.860 (1.184–2.921),  $P=0.007$ ]. Neither history of endocarditis ( $P=0.563$ ),



**Figure 1** Cumulative survival for patients with (A) severe AS with associated AI; (B) severe AI with associated AS. AS, aortic stenosis; AI, aortic insufficiency.

nor operating surgeon ( $P=0.974$ ) was a predictor of survival. The 30-days operative mortality in this group was 2.2%.

## Discussion

There is a paucity of published data on the relative outcomes of surgical AVR in predominant AS and AI lesions versus those with concomitant moderate or greater corresponding stenotic or regurgitant AV pathology. This study revealed that associated AI in the predominant AS population was less detrimental to long term survival than concomitant AS in the predominant AI population. Patients undergoing AVR for predominant AI with associated moderate or severe AS should be closely monitored for symptom progression, decline in LVEF, and increase in LV dimensions. A low threshold for surgical intervention may be reasonable for this population of patients.

Patients with AV stenosis and/or aortic regurgitation are subjected to increased pressure and/or volume overload of the left ventricle, leading to concentric or eccentric LVH (10,11). In the AS group, group 1 had a smaller LVEDD than group 2 with more severe associated AI. This is consistent with the eccentric hypertrophy expected in group 2 as opposed to concentric hypertrophy in group 1. However, reverse remodeling with decreased IVS and PW thickness after AVR resulted in no difference in LV dimensions on follow up echo. These findings are consistent with prior studies examining AS with concomitant AI where

appropriate preoperative LV adaptation that preserves systolic function was associated with preserved postoperative LV function after surgical AVR regardless of the coexisting degree of preoperative AI (12). It is important to point out that this is distinct from acute regurgitant volume from a paravalvular leak following transcatheter AVR which predicts a worse outcome in early and intermediate follow-up (13).

Indeed, LVEF improved in those with worse AI (group 2) to match those with less AI (group 1) after AVR. Furthermore, MR improved to comparable levels in both group 1 and 2 despite group 2 having worse MR initially. Similar to Catovic *et al.* and others, we did not find a difference in survival following AVR between isolated AS and AS associated with significant AI (12,14). Previous authors have demonstrated that AVR for isolated AS or MAVD had 5 and 10 years' postoperative survivals of 85% and 68% respectively (12). This is comparable to our 5 and 10 years' survival in a similar population of 77% and 52.2% respectively. As expected, associated AI was not predictive of survival in our Cox multivariable analysis.

Gilbert *et al.* reported that predominant AI with associated AS in MAVD has different effects on the LV where patients are more likely to have LVH, diastolic dysfunction, and less LV dilatation compared with isolated AI (15). When compared with group 3 on preop echo, we found that group 4 had greater LVH but there was no difference in the degree of LV dilatation. In our study, while

the LV dimensions did decrease after AVR, there was no change in pre vs. postop PW and IVS. thickness in group 4. Ali *et al.* demonstrated that incomplete regression of LVH after AVR is associated with decreased survival (16), and LVH is a risk factor for cardiac morbidity and mortality (17). However, we recognize that in most patients with AI, LVEDD and volumes became near normal within 2 weeks after AVR, where as a significant regression of LVH took at least 6 months (18). Our follow-up period of 1.2 years in this group should have been sufficient to detect decreases in wall thickness.

Accordingly, the AI group with more severe AS has a lower 10 years' survival of 59.7% compared with group 3 at 85.7% with less severe AS. This is consistent with findings by Rashedi *et al.* who found that in the natural history of MAVD, the severity of AS had the strongest correlation with progression to death, symptoms, and AVR (6). We demonstrate that this trend was also applicable for survival post-AVR in MAVD for predominant AI lesions. Rashedi *et al.* also found that MAVD was a more aggressive disease process with more rapid progression to LV systolic dysfunction at 4.3%/yr (6) in contrast to the 1.2%/yr in predominant AI patients (19). Similar to pre-surgical natural history findings by Egbe *et al.* (4), we also found that LV dimension was not a predictor of survival in MAVD after AVR. Une *et al.*, also reported that despite improvements in LVEF the clinical effects of LVEF recovery was poorer in patients with AI compared with AS (20). Our finding that RV function tend to decline following AVR in patients with worse AS in the predominant AI group may also explain a lower survival in group 4 compared to group 3.

This study has limitations due to its retrospective nature in a single institution with inherent limitations and biases. While propensity matching adjusted for certain differences in patient demographics, and comorbidities, it is possible that other unidentified characteristics had influenced our results. Since our survival data was obtained from hospital records, we may not have captured all mortality events. A decrease in study population following propensity matching may also limit our ability to detect smaller differences in clinical outcomes.

In conclusion, our study confirms that in the predominant AS population, similar perioperative and survival outcomes can be expected after AVR between patients with isolated AS, and AS associated with significant AI. However, in the predominant AI population, survival outcomes after AVR were poorer in AI associated with significant AS than in isolated AI. These data may influence

the recommendation on echocardiographic surveillance frequency and indications for surgery in this MAVD population.

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### Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

*Ethical Statement:* This study was approved by the University of Wisconsin-Madison Institutional Review Board (No. 2015-1050). A waiver of the need to obtain consent from patients was approved.

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