A review and analysis of strategies for prediction, prevention and management of post-operative atrial fibrillation after non-cardiac thoracic surgery

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Abstract: Atrial fibrillation (AF) is the most common sustained arrhythmia after non-cardiac thoracic surgery and is associated with a significant increase in perioperative morbidity, intensive care unit (ICU) admission, and mortality. Practical guidance is needed to assist clinicians in managing this critical issue and direct further research. Here we aim to provide a synoptic review and analysis of the literature to distil practical recommendations for prediction, prevention and management of post-operative atrial fibrillation (POAF) suitable for clinical application and further evaluation. To predict POAF, risk factors including age, gender, elevated pre-operative heart rate and extent of surgical resection have been reproducibly identified and integrated into scoring systems. To prevent POAF, prophylactic therapy with beta-blockers, amiodarone, or magnesium have demonstrated to be effective, but need further trials in high-risk populations. To manage unstable POAF that precipitates hypotension and hypoperfusion, although rare, requires immediate electrocardioversion to restore cardiac output and adequate oxygen delivery. For hemodynamically stable patients, rate control and prevention of adverse events are the objectives. We propose an individualized approach aimed at rate control using initial incremental low dose beta-blocker or calcium channel blocker (CCB) therapy with close monitoring of a patient's response, and continuation of the drug that they respond to, along with simultaneous identification and reduction of triggers of AF, in order for spontaneous return to sinus rhythm. For patients who persistently fail to respond to rate control therapy, rhythm control may be considered using an agent selected based on the patient's comorbidities and the medications' side effect profile. While controversial and requiring further study, anticoagulation therapy is recommended in patients with risk factors for thromboembolic events after 48 hours of persistent AF. We recommend continuous prospective monitoring of incidence and severity of POAF to track the impact of protocols to predict, prevent and manage POAF.

Keywords: Post-operative atrial fibrillation (POAF); thoracic surgery; prediction; prevention; management

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Introduction

Atrial fibrillation (AF) is the most commonly sustained arrhythmia after non-cardiac thoracic surgery, occurring after 12–44% of pulmonary and esophageal resections (1). It is associated with a significant increase in post-operative morbidity, length of stay (LOS), intensive care unit (ICU) admission and mortality (1,2). Patients who develop postoperative atrial fibrillation (POAF) often experience an increased LOS of 2 to 14 days (3). If sustained, POAF can increase a patient’s risk of thromboembolic events and it an independent risk factor of stroke in the 30 days following development of new sustained AF (4,5). Therefore, in some cases anticoagulation is critical (5). AF is associated with increased mortality risk after esophagectomy (mortality increase from 4.8% to 8.1%, P=0.04) (6) and decreased long-term survival after lobectomy (HR 3.75; 95% CI, 1.44 to 9.08) (1). As AF is both common and impactful, efforts to effectively and optimally predict, prevent and manage POAF is critical to improving quality of thoracic surgical care.

Despite significant developments in our understanding of the pathogenesis of AF and risk factors, there is no practical synthesis regarding the prediction, prevention and management of POAF. Multiple prevention therapies have been proven effective at reducing the incidence of POAF, however due to the dearth of trials and concern regarding adverse effects, prophylaxis has not been recommended (7,8). The American Association of Thoracic Surgeons (AATS) recently published practice guidelines for the prophylaxis and management of POAF (8). Our aim here is not to reproduce these practice guidelines; rather, the aim of this review and analysis is to provide a practical, feasible and clinically applicable strategy for the prediction, prevention and management of POAF in patients undergoing non-cardiac thoracic surgery. The following review, analysis and recommendations are designed to assist with clinical application in thoracic surgery centers, along with tracking of the incidence and severity of POAF to evaluate its clinical impact.

Methods

We searched Medline, the Cochrane central Registry of Randomized Controlled Trials, Ecerpta of Medica Database (EMBASE) National Guidelines Clearinghouse, Canadian Medical Association (CMA) Infobase, National Health Service (NHS) Evidence, Scotland Intercollegiate Guideline Network (SIGN) and National Institute for Health and Care Excellence (NICE) guidelines for articles published after 2005 using several searches specific to topics within this paper. For prediction and prophylaxis we used the terms AF, thoracic surgery, POAF, predict, prevent, and prophylaxis. For management we used the search terms AF, thoracic surgery, guidelines and consensus. To further assess rate control therapy, we did additional searches adding the terms diltiazem, calcium channel blocker (CCB), metoprolol and beta blocker. Further searching for articles citing relevant studies and bibliographies of useful articles was done to expand our search. All authors provided iterative review of the results, discussing and finalizing recommendations. Our recommendations are intended to be useful in so far as they reflect a practical and feasible approach to systematically address the problem of POAF, including rigorously evaluating the impact of this approach. It is important to note that the recommendations are not guidelines, and the search methodology for articles was not that of a systematic review, and we therefore acknowledge there may be missing relevant and important citations.

Prediction

The mechanisms of POAF remain complex and multifactorial. Since AF was first documented using the electrocardiogram in 1909 by Sir Thomas Lewis (9), it has been the subject of intense scrutiny. Numerous factors underlying the pathogenesis of AF have been identified, including genetics involving K+ and Na+ channels, increased atrial pressure (e.g., due to essential hypertension, valvular disease, pulmonary hypertension, and obstructive sleep apnea), inflammation and altered autonomic modulation (e.g., increased sympathetic tone) (10). POAF is thought to have similar pathogenic mechanisms, with some differences. After cardiothoracic surgery, the incidence of POAF is highest on postoperative days 2, closely tied to the highest levels of reactive oxidant species and inflammatory cytokines, including C-reactive protein, highlighting the importance of inflammation (11,12). While a full discussion of the multifactorial mechanisms of POAF is beyond the scope of this review, what appears consistent is the concept that a variety of factors predispose the heart to be at increased risk for AF, and surgery (specifically cardiac or thoracic surgery), may elevate a patient over their AF threshold, leading to POAF (13).

Multiple patient and surgical factors have been identified...
as independent predictors of POAF, outlined in Table 1. Patient factors identified as independent predictors of POAF include advanced age, a history of congestive heart failure, concomitant lung disease, preoperative tachycardia, neoadjuvant chemotherapy and male gender (1,2,6,15-21). Increasing age is a factor that has consistently demonstrated elevated risk of AF, and lead to its sustained presence. This may be due to the age-associated myocardial apoptosis and fibrosis contributing to the intra-atrial conduction delay, and ultimately reentrant circuits responsible for AF (22). Male gender has been shown to be linked with increased risk for AF, which may be due to general increased atrial size or the increased proinflammatory immune response seen in male patients compared with females (19,22). As evident in the cardiac surgery literature, surgical stress and burden of disease has also been shown to play a significant role in the development of AF after thoracic surgery. For instance, patients undergoing bilobectomy or pneumonectomy have been found to have significantly increased risk of AF over patients undergoing a lobectomy (odds ratio of 1.64 vs. 1.95 respectively) (14). Elevated brain natriuretic peptide (BNP) level has also been demonstrated to be an independent predictor of AF. This may be due to its concomitant elevation in patients with advanced age, lung disease and coronary artery disease which are independent risk factors for AF (17,20). Multiple small heterogenous studies have demonstrated association of BNP with POAF, however an appropriate threshold has yet to be defined. Independent risk factors have been combined by Passman and colleagues to form a prediction model that can be easily applied by clinicians in the preoperative setting based on a patient’s age, gender and resting heart rate and overall classification accuracy of 67% (c-statistic 0.65–0.73) (19). The prediction rule should be externally validated to assist with guiding prophylactic therapy in high-risk patients.

**Recommendation**

Multiple existing predictive models are capable of identifying patients at increased risk for POAF; however,
clinical application of these risk models first requires external validation, followed by ongoing evaluation and improvement over time.

**Prevention**

To prevent POAF, multiple pharmacologic agents have been investigated with varying levels of effectiveness and risk. Trials directly comparing medical therapy agents are limited and heterogeneous, and much of the evidence is extrapolated from cardiac surgery trials. A recent meta-analysis found that pharmacologic prophylaxis including beta-blockers, amiodarone, and magnesium, significantly reduced the risk of POAF and major adverse cardiovascular events over standard care (odds ratio of 0.33; 95% CI, 0.22–0.49 and 0.39; 95% CI, 0.17–0.87) (23). Among these agents, beta-blockers demonstrated the greatest effectiveness at preventing AF (reduction from 40% to 9%) (23) but have not been recommended as prophylaxis in the 2014 AATS guidelines due to concern of bronchospasm and hypotension developing in patients undergoing lung resection (8). Although the issue of bronchospasm may be overstated, the issue of bradycardia, hypotension, stroke (increase of 98%), and mortality (increase of 27% identified in patients starting beta-blocker for myocardial infarction (MI) risk reduction pre-operatively) (24) is of major concern. The evidence for preoperative initiation of beta-blockade in patients at risk of POAF is conflicting and further evaluation is needed (21). For patients already on beta-blocker therapy, the general recommendation is to continue therapy (8). Amiodarone has also been shown in multiple RCTs to significantly reduce AF (from 20.5% to 10%) (25). Initially, amiodarone was considered unsafe in thoracic surgery after a small study in 1994 demonstrated an association between the use of amiodarone and acute respiratory distress syndrome (ARDS) after pneumonectomy (26). However, multiple studies have since demonstrated that low doses of amiodarone are safe and effective in patients with chronic lung disease (27,28). Magnesium has also been demonstrated to be effective in reducing the risk of AF. In a trial comparing its use with amiodarone vs. control, it was found to be inferior to amiodarone but better tolerated (with an incidence of POAF of 20.5% in the control group, 10% in the amiodarone group, and 12.5% in the magnesium group) (25). CCBs have been less effective on average for prophylaxis than the other above mentioned therapies and are associated with risk of bradycardia and hypotension, and as with beta blockers, must be used with caution in patients with impaired systolic function. Thus, prophylactic therapy with beta-blocker agents, amiodarone and magnesium has the potential to reduce AF in high-risk patients, but is rarely implemented in clinical practice (23). To date there is inadequate data to provide clear recommendations for prophylaxis in all patients. Further evaluation of prophylactic therapy in high-risk patients is needed to understand this potentially impactful aspect of managing POAF.

**Recommendations**

Prophylactic therapy with beta-blocker agents, amiodarone, CCB and magnesium may be considered to reduce the risk of POAF; however providing prophylaxis to all patients is not recommended because the exposure of all patients to the risk of adverse events outweighs the benefit experienced by only a few patients in preventing POAF. The approach of implementing prophylaxis only in high-risk patients only to reduce incidence and severity of POAF is promising yet unproven, and requires further study to evaluate safety and effectiveness.

**Management**

The objective of POAF management is to restore cardiac output and ensure adequate oxygen delivery. If the patient is hemodynamically unstable, electrocardioversion is first-line therapy to convert the patient back to a sinus rhythm. If cardioversion is performed 48 hours after sustained AF, echocardiogram is recommended to detect an atrial thrombus (8). However, the vast majority of patients are hemodynamically stable or have mild alteration in blood pressure. In these patients, rate control remains the principal objective of initial therapy to allow for adequate ventricular filling, restoration of cardiac output and reduce the morbidity and cardiomyopathy associated with tachycardia (29). Concurrently with rate control, triggers of AF need to be addressed to generate to optimal conditions for the patients to spontaneously and safely return to a sinus rhythm.

As rate control is the primary goal of initial treatment, numerous pharmacologic agents have been investigated to manage POAF-associated tachycardia in hemodynamically stable patients. Rhythm control is not recommended as initial treatment because the complex side effect profile, associated toxicity and the frequent resolution of POAF within 48 hours precludes their use (30).
Rate control may be achieved with intravenous beta-blocker, and/or CCB therapy, both considered safe first-line agents. Multiple trials performed in the 1990s demonstrated their effectiveness at rate control (29). However, the literature comparing the two drug classes is sparse. We were only able to identify two small randomized trials comparing beta-blockers with CCB in POAF (31,32). The first study included 64 patients admitted to intensive care who developed post-operative supraventricular tachycardia. Patients were randomized to receive esmolol or diltiazem. In the sub group analysis of patients with POAF, conversion to sinus rhythm at 2 hours was seen more readily with beta-blockers than CCB however this was not statistically significant (59% vs. 27%, P=0.067). Overall both agents were effective at achieving rate control in majority of patients by 12 hours with no significant difference in hospital LOS or mortality (31). A second trial of 60 patients who developed POAF after coronary artery bypass, were randomized to receive treatment with esmolol or diltiazem. They found that esmolol was associated with greater conversion to sinus rhythm at <6 hours (67% vs. 13%, P=0.03) and diltiazem was associated with greater rate control at <12 hours (100% vs. 40%, P=0.045) with no significant difference in adverse events between the two groups (32). These findings are also consistent with the few small trials conducted with patients presenting with new AF to the ED (Emergency Department), but without the distinction of beta-blockers resulting in earlier conversion to sinus (33,34). The difference in conversion with beta-blockers post-operatively may be due to the heightened adrenergic tone seen in the post-operative state. Based on our review, there is limited difference between agents in achieving rate control. Metoprolol is more readily available due to its ability to be stored at room temperature thus we recommend it as initial therapy. Although similar in response, we noted that the dose required to achieve rate control with both agents varies between patients.

Individualized titrated dosing to achieve rate control is necessary, and variable response is observed. In the majority of the trials reviewed, the dose to achieve rate control varied and was often titrated by clinicians based on patient response (35). In the AFFIRM trial, rate control agents were adjusted to achieve pre-selected rate control guidelines, which included resting heart rate of <80 and 6 min walk test heart rate <110 (36). In a recent trial for acute management of AF in ICU patients after non-cardiothoracic surgery, patients received titrated doses of either metoprolol or diltiazem to achieve a heart rate of <110. Those receiving metoprolol required doses ranging between 5–15 mg and those receiving diltiazem received an initial bolus of 10–46 mg with 45% of patients requiring a repeat bolus (30). The variability in dosing required for treatment effect undermines direct comparison of agents with RCTs and emphasizes the need to develop and evaluate more individualized strategies to treating POAF that incorporate continuous monitoring, titration of dosing to patient response and advocates for multi-agent therapy. Figure 1 outlines such an approach. Using the most recent evidence on pharmacotherapy for rate control as well as triggers of AF this algorithm facilitates a comprehensive approach to individualized therapy. In this algorithm, low doses of first line rate control therapies are administered until heart rate is <110 bpm (the heart rate demonstrated to be effective at improving outcomes for patients with AF by the RACE II trial) (26). The addition of digoxin has been shown to improve rate control and can be added if inadequate response to initial therapy (as demonstrated by the AFFIRM trial: 75% vs. 68% when added to beta-blocker, 66% vs. 60% for CCB) (36). In patients with decompensated heart failure or ejection fraction <35%, beta-blocker and CCB therapy should be avoided for their negative inotropic effect and amiodarone should be given instead (29). Patients will have inconsistent response to therapy and therefore require an individualized approach with continuous monitoring with repeat dosing/addition of another pharmacological agents according patient’s response. As previously discussed, there are multiple factors contributing to a patient's risk of POAF and simultaneous attempts should be made to reverse them.

Operative dissection and inflammatory changes may be sufficient to provoke AF, however, other reversible conditions may alter the patient’s adrenergic response and conversion to AF (37,38). A suggested approach to initial assessment and investigations to identify triggers such as pneumothorax, pulmonary embolism, infection (including pneumonia, empyema or anastomotic leak), bleeding or electrolytes disturbances is outlined in Figure 1. Addressing reversible triggers may contribute to earlier return to sinus rhythm. A comprehensive approach to AF with individualized rate control therapy and reduction of triggers in the context of varying severity of AF and patient response should be evaluated at multiple institutions to understand the impact on POAF management.

Rhythm control is reserved for patients who cannot tolerate rate control agents or who, after 48 hours, continue to have POAF despite rate control to reduce the risk of thromboembolic events. This recommendation
**Post-operative atrial fibrillation**

Apply IV, O₂, monitors. Order ECG, CBC, electrolytes, Mg, Ca, PO₄.

### Assess stability

#### Unstable

- If shock (pale, decreased peripheral pulses, hypotension), pulmonary edema, chest pain decreased LOC

#### Synchronized electrocardioversion

1. Arrange for cardiac monitor and intubation equipment;
2. Consider sedation;
3. Synchronized cardioversion at 200 J monophasic;
4. Consider echocardiogram to rule out thrombus, and assess for diastolic/systolic dysfunction.

#### Stable

**RATE CONTROL** to aim for HR <110.

- If decompensated HF or EF <35%, give amiodarone, **NOT** beta-blocker or CCB
  1. Otherwise give metoprolol 2 mg IV over 1 min, if favorable response and no adverse events, repeat q5–10 min, initial max IV dose 10 mg total;
  2. If inadequate or no response to <4 mg metoprolol, give Diltiazem 5 mg IV over 1 min, if favourable response and no adverse events, repeat q10 min PRN, max dose 20 mg.

If persistent inadequate ventricular HR response or adverse events, consider:

1. Digoxin 0.5 mg IV then 0.25 mg IV in 6 h and if normal renal function, 0.25 mg IV in 12 h;
2. Amiodarone 150 mg IV over 10 min followed by infusion for rate and rhythm control.

**RATE MAINTENANCE** continue po dosing of agents proven effective in controlling ventricular response HR:

1. Apo metoprolol, diltiazem, digoxin as indicated.

### Assess and manage triggers

- **Hypoxia?**
  - Check: lung exam, chest tubes;
  - Order: portable CXR;
- **Electrolyte abnormality?**
  - Give: MgSO₄ 2 g IV empirically;
  - Replace to maintain K >4 and Mg >1 mmol/L;
- **CAD or CHF?**
  - Check: HR, JVP;
  - Order: CK, TNI, ECG;
- **Infection?**
  - Check: wounds, signs of infection;
  - Order: CXR Urinalysis PRN;
- **Bleed or Hypovolemia?**
  - Check: ins/outs, tubes;
  - Give IV fluid bolus PRN.

### If 48 h of persistent atrial fibrillation:

- Assess CHADS2 score [6]
  - CHF [1], HTN [1], Age >75 [1], DM [1], History of stroke or TIA [2]

- > or =2 points
  1. Consider cardiology consult;
  2. Assess bleeding risk (pending OR, chest tube drainage, low platelets);
     - If low bleeding risk
       - Start warfarin;
     - If high bleeding risk
       - Consider TEE and electrocardioversion;
       - Consider LMWH.

- <2 points
  1. Give ASA 325 mg PO daily

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**Figure 1** initial individualized management approach to POAF. IV, intravenous; O₂, oxygen; ECG, electrocardiogram; CBC, complete blood count; LOC, level of consciousness; HR, heart rate; HF, heart failure; EF, ejection fraction; CHF, congestive heart failure; HTN, hypertension; DM, diabetes mellitus; TIA, transient ischemic attack, CXR, chest X-ray; TEE, trans-esophageal echocardiogram; LMWH, low molecular weight heparin; ASA, acetylsalicylic acid.
by the AATS is largely based on trials in cardiac surgery and long-term studies in the general population such as the AFFIRM trial which demonstrated rate-control to be superior long-term therapy in patients >65 years with AF (8). In the AFFIRM trial, rate control was better tolerated by participants, as demonstrated the 5-year 37.5% cross-over rate of patients from rhythm to rate control due to side effects (P<0.001) (36). Rate control was also associated with significantly fewer hospitalizations, and with less thromboembolic events, major adverse events and mortality although these were not statistically significant. It is unclear to what extent the evidence from this long-term study can be applied to POAF. Evidence specific to thoracic surgery suggests no significant difference between rate and rhythm control, however this is drawn from a single trial of 30 patients comparing amiodarone with diltiazem (35).

The choice of antiarrhythmic therapy for rhythm control should be guided by the patient’s comorbidities and the side effect profile of the agent. Procainamide should be avoided in patients with heart failure. Flecainide and propafenone should be avoided in patients with coronary artery disease or structural heart disease. Amiodarone, at high doses, should be used with caution due to concern of pulmonary toxicity (8). Pulmonary toxicity was first noted in a 1994 trial whereby 3 of 11 (27%) of patients who underwent pneumonectomy and received IV amiodarone for AF developed ARDS (26). However, this number is too small to make any strong recommendations from and multiple trials have since demonstrated no increased risk of pulmonary toxicity with amiodarone even in patients with pneumonectomy. The difference may be that more recent studies have used lower doses. Patients in the 1994 trial patients received cumulative doses of over 2,000 mg IV whereas more recent trials have only used up to 1,050 mg IV, which has demonstrated to be safe and effective (27,28). As such, careful consideration of patient comorbidities should be considered prior to administering antiarrhythmic therapy and only low doses of IV amiodarone should be used in patients who have undergone major pulmonary resection.

**Recommendations**

(I) For patients with new onset POAF who develop acute hemodynamic instability, immediate synchronized electrocardioversion is recommended and consideration of an echocardiogram if POAF is sustained >48 hours to detect an atrial thrombus; (II) hemodynamically stable patients with POAF should receive incremental dosing of rate control therapy with continuous cardiac monitoring until a heart rate of <110 BPM is achieved. If the patient has known decompensated heart failure or ejection fraction <35%, consider least negatively inotropic agent, namely amiodarone. If there is no decompensated heart failure, initial trial of low trial dose of beta-blocker (e.g., 2–5 mg metoprolol IV push) should be given followed by repeat dosing (every 5 min) if beneficial effect witnessed (e.g., lowering HR) and no adverse events (e.g., hypotension, bronchospasm, bradycardia) until desired effect; or if no effect observed on heart rate or on blood pressure, consider CCB (e.g., 5 mg diltiazem IV push), with repeat dosing (q5 min) if beneficial effect and no adverse events to achieve rate control; (III) to augment effect of either beta-blocker or CCB, consider digoxin to further augment effectiveness of rate control and minimize adverse events; (IV) early assessment and correction of underlying POAF triggers may help facilitate early return to sinus rhythm (such as pneumothorax, pneumonia, pulmonary embolism, infection, bleeding or electrolyte abnormalities); (V) cardioversion should be reserved for patients who cannot tolerate rate control agents or who have persistent, poorly controlled POAF despite rate control efforts; (VI) the choice of antiarrhythmic agent should be selected in accordance with the patient’s comorbidities and the agents’ side effect profile; (VII) further formal evaluations of standardized protocols to predict, prevent and manage POAF are warranted to evaluate impact on incidence, duration and severity of POAF.

**Anticoagulation**

Indications for anticoagulation with POAF are controversial and long-term anticoagulation for the prevention of thromboembolic events is rarely required given that POAF is often transient and self-limiting. Rena and colleagues reported that 98% of patients with POAF after lung resection resolved within 1 day of hospital discharge (39). However, POAF has been shown to be associated with increased risk of ischemic stroke (40). In particular, the few that fail to resolve and remain in non-valvular AF after 48 hours the risk of stroke is 5 times greater than those in sinus rhythm and therefore early consideration of anticoagulation is recommended. In these patients, anticoagulation therapy should be considered if their individual risk for thromboembolic event according to their risk factors for stroke (i.e., congestive heart failure, hypertension, diabetes, previous thromboembolic event, or peripheral vascular disease) outweighs their
Further detailed recommendations are beyond the scope of this analysis.

**Recommendations**

Antithrombotic therapy to reduce the risk of stroke in patients with persistent AF should be considered if POAF persists >48 hours, and should be individualized based on patient's risk factors for thromboembolic event (congestive heart failure, hypertension, diabetes, previous thromboembolic event, peripheral vascular disease) and post-operative bleeding risk.

**Conclusions**

This article provides a concise analysis of strategies and practical recommendations (see Table 2) to predict, prevent and manage POAF. Risk factors for POAF are well established and prophylactic therapy has the potential to reduce the incidence of POAF. Validation of prediction models to identify high-risk patients and subsequent trials of prophylaxis in high-risk patients is needed. The mechanisms of AF after surgery are vast and patient response to therapy is variable. As such, management requires close patient monitoring and individualized treatment. Future research should focus on evaluating comprehensive strategies to...
address POAF and continue to standardize initial therapy and in doing so, contribute to the reduction of the overall morbidity of POAF and risk of thromboembolic events associated with this common complication of thoracic surgery.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

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