



# Diagnostic accuracy of single-source dual-energy computed tomography and ultrasonography for detection of lateral cervical lymph node metastases of papillary thyroid carcinoma

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**Background:** Dual-energy computed tomography (DECT) imaging can generate iodine-based material decomposition (MD) images and spectral HU curve. This study aimed to investigate the diagnostic accuracy of single-source dual-energy CT (DECT) and ultrasonography (US) for detecting lateral cervical lymph node metastases of papillary thyroid carcinoma (PTC).

**Methods:** Thirty patients with PTC were enrolled in the study and underwent DECT and US examination before thyroidectomy and cervical lymph node dissection. The spectral parameters included iodine concentration (IC), normalized iodine concentration (NIC) and slope ( $\lambda_{HU}$ ) of lymph nodes. The CT morphological parameters included maximal short diameter, shape, margin, calcification and cystic degeneration of lymph nodes. The US morphological parameters included maximal short diameter, calcification and cystic degeneration of lymph nodes. The diagnostic value of every single spectral parameter, combined gemstone spectral image (GSI) parameters, CT morphological parameters and US morphological parameters between metastatic and non-metastatic lymph nodes were statistically compared. Receiver operating characteristic (ROC) curves, sensitivity, and specificity were used to determine the diagnostic value.

**Results:** Ninety-nine lymph nodes from thirty patients were pathologically confirmed. Among them, 70 (70.7%) were metastatic. For single GSI parameters, ROC analysis showed that the area under the curve (AUC) for IC was the highest (AUC =0.937) but the difference was not statistically significant when compared with NIC or slope ( $\lambda_{HU}$ ) ( $P>0.05$ ). The optimal diagnostic threshold for IC was 2.56 mg/mL, with a sensitivity, specificity and accuracy of 87.1%, 93.1%, and 88.9%, respectively. The AUC for combined GSI parameter (AUC =0.942) was higher compared with the US morphological parameters (AUC =0.771,  $P<0.001$ ), with a sensitivity, specificity, and accuracy of 92.9%, 86.2%, and 90.9%, respectively. However AUC did not differ significantly among combined GSI parameters, combined CT morphological parameters and a single application for spectral CT parameters IC ( $P>0.05$ ).

**Conclusions:** Combined GSI parameters showed better diagnostic accuracy in lateral cervical lymph node metastasis of PTC compared with that of combined US morphological parameters. IC alone showed excellent diagnostic stability and could be performed easily.

**Keywords:** Papillary thyroid carcinoma (PTC); lymph node; metastasis; dual-energy computed tomography (DECT); ultrasonography (US)

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## Introduction

Papillary thyroid carcinoma (PTC) is a common malignant tumor of the neck. The lymph node metastasis rate of thyroid carcinoma was reported as from 35% to 80% (1-5). Although cervical lymph node metastasis does not affect the overall and disease-specific survival, it increases the risk of local recurrence. It was reported that regional lymph node metastasis accounted for 31% of local recurrences (6,7). Therefore, accurate preoperative diagnosis of lymph node metastasis and consequently, neck dissection may reduce the recurrence rate. American Thyroid Association (ATA) guidelines (8) recommend that prophylactic central-compartment neck dissection (ipsilateral or bilateral) should be considered in PTC patients, especially for advanced primary tumors (T3 or T4). However prophylactic lateral compartment neck dissection is not mentioned in the guidelines. Neck dissection may lead to severe postoperative complications, such as recurrent laryngeal nerve palsy, hematoma, chyle leakage, and spinal accessory nerve dysfunction (9). Therefore, the indications for neck dissection should be carefully investigated. Accurate preoperative diagnosis of the lymph node metastasis is important in determining the need for lateral compartment neck dissection in PTC patients.

Currently, the method of preoperative diagnosis of lymph node metastasis mainly includes ultrasonography (US) and computed tomography (CT), but both have limited specificity and even when these modalities are combined, there are still some limitations (10-14). Dual-energy computed tomography (DECT) imaging can reconstruct material decomposition (MD) images and a set of monochromatic images with photon energy ranging from 40 to 140 keV, to generate spectral HU curve. The added MD images and spectral HU curve can help diagnose the cervical lymph node metastasis of PTC (15). The aim of this study was to investigate the diagnostic accuracy of single-source dual-energy CT (DECT) and US for detecting lateral cervical lymph node metastasis of PTC.

## Methods

### *Patient selection*

The study was approved by the Institutional Ethics Review

Board (National Cancer Center/National Clinical Research Center for Cancer/Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College NCC2016 NZ-03) and all patients were provided with written informed consent. Our inclusion criteria were as follows: (I) age over 18 years; (II) thyroid cancer confirmed by postoperative pathology; (III) no other history of cervical tumors except the thyroid tumor; and (IV) no history of radiation treatment in the neck. The exclusion criteria were as follows: (I) history of surgical treatment or postoperative pathology confirmed to be benign lesions; (II) pathology confirmed to be malignant tumor other than PTC; (III) lymph nodes in CT images measured less than 5 mm in maximal short diameter and (IV) patients didn't receive cervical lymph node dissection.

### *CT scanning protocol*

All CT scans were performed on a Discovery CT750 HD Scanner (GE Healthcare) using GSI mode. Patients were in a supine position, with the neck stretching as far as possible. The scanning range was from the skull base to the upper margin of the aortic arch. The scanning was performed using the following parameters: fast tube voltage switching (0.5 ms) between 80 and 140 kVp, tube current =260 mA, pitch =0.984:1, rotation time =0.7 s, and reconstruction slice thickness and interval =5 mm. All patients were injected with 90 mL of the non-ionic contrast agent by using a high-pressure injector at a flow rate of 3 mL/s. The scan was started 45 s after the injection of the non-ionic contrast agent.

All images with data file were transferred to an advantage workstation (AW4.6. GE Healthcare) to generate 40, 70 and 100 keV images, iodine-based MD images and spectral HU curve which were analyzed by a single radiologist with 17 years of experience in head and neck cancer diagnosis who was blinded to the pathological results. Images measurements and analysis were performed by using the GSI viewer, an image analysis software. The CT morphological parameters included: maximal short diameter of the lymph node on the cross-sectional plane; shape and margin; small calcification and cystic degeneration (11,16-20).

The CT GSI parameters were measured using 70 keV images by another radiologist with more than 5 years of experience in head and neck cancer diagnosis. Region-

of-interests (ROIs) were placed on the lymph nodes with maximal short diameter  $\geq 5$  mm while avoiding necrosis and calcification regions on the image slice containing the highest intensity. The parameters were measured three times and averaged. The GSI parameters (21-23) included the iodine concentration (IC) of ROIs, CT attenuation value (HU) of ROIs, IC of the common carotid artery on the same image slice, normalized iodine concentration (NIC) and slope ( $\lambda_{\text{HU}}$ ). The formula of NIC and  $\lambda_{\text{HU}}$  were as follows:  $\text{NIC} = \text{IC}_{\text{lesion}} / \text{IC}_{\text{common carotid artery}}$ ,  $\lambda_{\text{HU}} = [\text{CT value}_{(40 \text{ keV})} - \text{CT value}_{(100 \text{ keV})}] / (100 - 40)$ . "CT value<sub>(40 keV)</sub>" and "CT value<sub>(100 keV)</sub>" are the CT attenuation measurements at 40 and 100 keV, respectively.

## US

All preoperative US examinations were performed by one radiologist with 19 years of experience in thyroid imaging using a US equipment (GE-Logiq9, GE Healthcare, WI) with a 10–14 MHz transducer. The US morphological parameters included maximal short diameter, calcification and cystic degeneration of lymph nodes (1,11,24,25).

## Surgical pathology analysis and grouping

Lymph nodes were divided into 7 regions bilaterally (a total of 14 regions) according to Som's criteria (26) in radiological, surgical and pathological assessment. Based on US, CT results and frozen section pathologic analysis during surgery, different surgical procedures were chosen for thyroid diseases and lymph nodes. Prophylactic central compartment neck dissections were performed in patients when there was suspicion of PTC. Ipsilateral or bilateral lateral compartment dissections were performed when there was suspicion of metastatic lymph nodes. When suspected metastasis lymph node shown in an area, the entire regional lymph nodes were resected. The lymph nodes were marked by surgeons according to the lymph node region and were sent for pathologic examination. Surgical specimens were stained and diagnosed by experienced pathologists. CT images were compared with the pathological analysis according to the lymph node classification. All lymph nodes were divided into metastasis group and non-metastasis group.

## Statistical analysis

Continuous variables were present as the mean  $\pm$  standard

deviation (SD). Categorical variables were presented as rate. We used either the  $\chi^2$  (Pearson method and McNemar method) test or the Fisher exact test for categorical variables and the independent t test for continuous variables to compare the differences between metastasis group and non-metastasis group. The receiver operating characteristic (ROC) curve analyses were used to determine the discrimination ability of various image parameters for lymph node metastasis, using postoperative pathological results as the gold standard. The best cutoff values were calculated by the Youden index, and the sensitivity, specificity, and accuracy of these cutoff values were also calculated. All statistical analyses were performed using SPSS 20.0 software (SPSS, Chicago, Illinois, USA). Statistical figures were drawn using GraphPad Prism 7.0 (GraphPad Software, San Diego, CA, USA). The area under the ROC curves (AUC) was compared using MedCalc 18.2.1 (MedCalc Software, Ostend, Belgium). P values  $< 0.05$  were considered to indicate statistical significance.

## Results

### Patients and lymph nodes

From January 2017 to December 2017, 108 consecutive patients underwent DECT and US on their first visit to our hospital due to the presence of a thyroid mass. According to the exclusion criteria, 78 patients were excluded: postoperative pathology confirmed nodular goiter, adenoma, thyroiditis and other benign lesions (22 cases); pathology confirmed lymphoma (2 cases), medullary carcinoma (2 cases); lymph nodes in CT images measured less than 5 mm in maximal short diameter and patients didn't receive cervical lymph node dissection (52 cases). Finally, 30 patients were included in the study. The mean age of the 30 patients [13 men (43.3%) and 17 women (56.7%)] was  $41.6 \pm 14.8$  years (range, 18–66 years). A total of ninety-nine lymph nodes from thirty patients were pathologically confirmed. Among them, 70 (70.7%) were metastatic, 29 were non-metastatic. The distribution of lymph nodes is shown in *Table 1*.

None of the region I lymph nodes were dissected in any of all the patients, and thus was not included.

### Morphological features of lymph nodes

The CT (maximal short diameter, shape, margin, calcification and cystic degeneration) and US (maximal

short diameter, calcification, and cystic degeneration) morphological features of lymph nodes in the metastatic and non-metastatic groups are shown in *Table 2*. *Table 2* also shows the sensitivity, specificity, and accuracy of each feature in diagnosing lymph node metastasis, irregular shape, unclear margin, calcification, and cystic degeneration were associated with malignant lymph nodes (*Figures 1-3*).

### GSI parameters of lymph nodes

The GSI parameters (IC, NIC, and  $\lambda_{HU}$ ), the optimal cut-

off value and the sensitivity, specificity and accuracy of each parameter in diagnosing lymph nodes metastasis are shown in *Table 3*.

IC, NIC, and  $\lambda_{HU}$  for the lymph node metastasis group were statistically higher than the non-metastasis group ( $P < 0.001$ ) (*Figure 4, Table 3*). Although the AUC of IC was the highest (0.937) among the three GSI parameters, there was no significant difference when compared with the other two parameters ( $P > 0.05$ ) (*Figure 5*).

### The diagnostic value of combined GSI, CT morphological and US morphological parameters

All positive parameters were assigned to class 1 (or 0), including IC  $> 2.56$  mg/mL, NIC  $> 0.29$ ,  $\lambda_{HU} > 2.69$ , maximal short diameter (CT)  $> 0.8$  cm, irregular shape (CT), unclear margin (CT), calcification (CT), cystic degeneration (CT), maximal short diameter (US)  $> 0.9$  cm, calcification (US), and cystic degeneration (US). The ROC curves

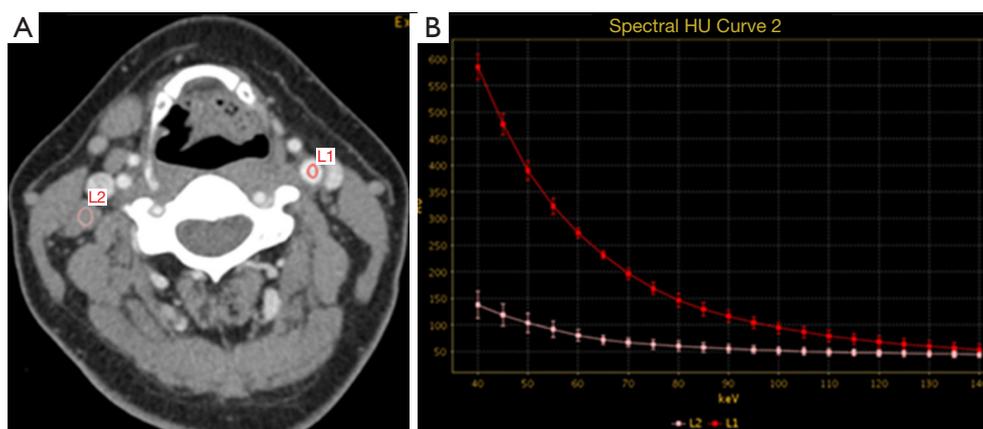
**Table 1** Distribution of metastatic and non-metastatic lymph nodes

Group	II	III	IV	V	Total
Metastatic	11	22	31	6	70
Non-metastatic	11	12	6	0	29
Total	22	34	37	6	99

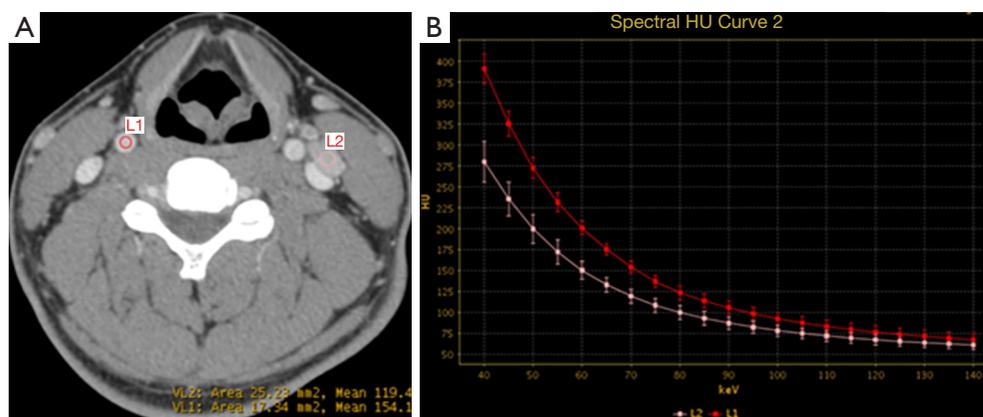
**Table 2** CT and US morphological signs of metastatic and non-metastatic lymph nodes

Technique	Parameter	Characteristic	Metastatic (n=70)	Non-metastatic (n=29)	Statistic	P value	Cutoff value	Sensitivity (%)	Specificity (%)	Accuracy (%)
CT	Maximal short diameter	Mean (cm)	1.14	0.64	4.466	0.000	$> 0.80$	61.4	93.1	70.7
		SD (cm)	0.60	0.16						
	Shape	Irregular (n)	32	0	19.589	0.000	Irregular	45.7	100.0	61.6
		Regular (n)	38	29						
	Margin	Unclear (n)	26	0	14.608	0.000	Unclear	37.1	100.0	55.6
		Clear (n)	44	29						
	Calcification	Yes (n)	13	0	6.200	0.013	Calcification	18.6	100.0	42.4
		No (n)	57	29						
Cystic degeneration	Yes (n)	26	0	14.608	0.000	Cystic degeneration	37.1	100.0	55.6	
	No (n)	44	29							
US	Maximal short diameter	Mean (cm)	1.54	0.92	2.857	0.005	$> 0.90$	68.6	82.8	72.7
		SD (cm)	1.05	0.80						
	Calcification	Yes (n)	34	8	3.697	0.055	Calcification	48.6	72.4	55.6
		No (n)	36	21						
	Cystic degeneration	Yes (n)	13	0	6.200	0.013	Cystic degeneration	18.6	100.0	42.4
		No (n)	57	29						

US, ultrasonography.



**Figure 1** Papillary thyroid carcinoma without lateral cervical lymph node metastases. (A) Enhanced 70 keV image at axial view shows an enlarged lymph node in right cervical region II, with the absent of significant enhancement. ROI L1 is put on the left common carotid artery. ROI L2 is put on the right cervical region II lymph node. (B) The spectral curve shows that the spectral curve of right cervical region II lymph node (L2 pink curve) is below that of the left common carotid artery (L1 red curve). ROI, region-of-interest.



**Figure 2** Papillary thyroid carcinoma with lateral cervical lymph node metastases. (A) Enhanced 70 keV image at axial view shows an enlarged lymph node in left cervical region III, with significant enhancement. ROI L1 is put on the right common carotid artery. ROI L2 is put on the left cervical region III lymph node. (B) The spectral curve shows that the spectral curve of the left cervical region III lymph node (L2 pink curve) is close to that of the right common carotid artery (L1 red curve). ROI, region-of-interest.

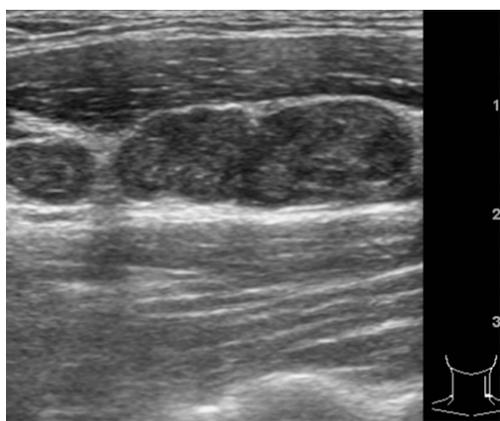
of combined GSI parameters (IC, NIC,  $\lambda_{HU}$ ), combined CT morphological parameters (maximal short diameter, shape, margin, calcification, cystic degeneration) and combined US morphological parameters (maximal short diameter, calcification, and cystic degeneration) were drawn respectively (Figure 6). The optimal cut-off value, the sensitivity, specificity, and accuracy of each set of combined parameters in diagnosing lymph node metastasis are shown in Table 4. The combined GSI parameters (the presence of any 2 of the parameters) showed the highest diagnostic value (AUC = 0.942, sensitivity = 92.9%, specificity = 86.2%,

and accuracy = 90.9%), with a significant difference when compared with the combined US morphological parameters (presence of any of the parameters, AUC = 0.771, sensitivity = 78.6%, specificity = 65.5%, accuracy = 74.7%,  $P < 0.001$ ). There was no significant difference when compared with the combined CT morphological parameters (presence of any 3 of the parameters, AUC = 0.879, sensitivity = 78.6%, specificity = 93.1%, accuracy = 82.8%,  $P > 0.05$ ). The diagnostic value of IC alone (AUC = 0.937) was lower than that of the combined GSI parameters, but the difference was not statistically significant. The diagnostic value of IC

alone was significantly higher than that of combined US morphological parameters ( $z=0.4017$ ,  $P<0.001$ ).

## Discussion

PTC is the most common malignant tumor of the thyroid gland with a high rate of lymph node metastasis. ATA guidelines (8) recommend that prophylactic central-compartment neck dissection (ipsilateral or bilateral) should be considered in PTC patients. However there is no explicit



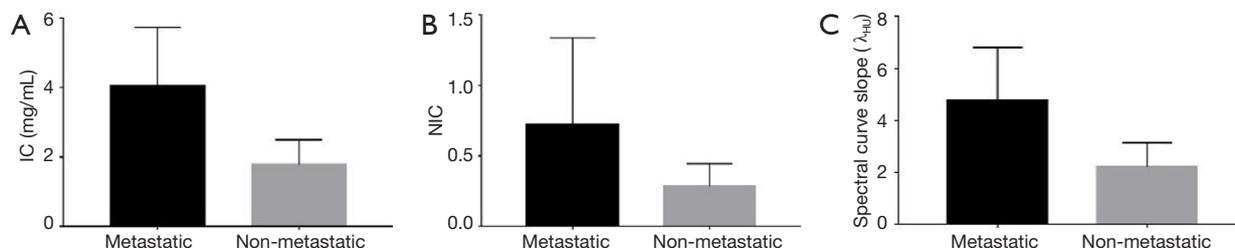
**Figure 3** High frequency ultrasound image shows enlarged left cervical lymph nodes, with microcalcification.

consensus regarding prophylactic lateral compartment neck dissection. For the lymph nodes that which were preoperatively diagnosed as non-metastatic lymph nodes, the dissection will not extend the disease-free survival time but may lead to severe postoperative complications (9). Therefore, preoperative lymph nodes assessment is critical to surgical planning and prognosis. Traditional methods of diagnosing lymph node metastasis include palpation, US, CT, PET-CT, and fine-needle aspiration. Palpation has been replaced by US, CT, and PET-CT (27-30) due to its limited sensitivity. According to previous studies, the sensitivity of using US and CT for diagnosing lateral lymph node metastasis are 64.0–74.3% and 68.6–78.2%, the specificity of US and CT for lateral lymph node metastasis is 82–94.8% and 78–95% (3,11,17-20,31-33), respectively. The sensitivity and specificity of US is similar to that of CT scan in the lateral neck. Previous studies have shown that US had high specificity (82–94.8%) but low sensitivity (64.0–74.3%) for preoperative detection of cervical nodal metastasis in patients with PTC. In our study, the sensitivity of US and CT for lateral lymph node metastasis is 78.6% and 78.6%, and specificities is 65.5% and 93.3%, respectively, in agreement with previous studies. Even though some studies considered US to be superior to CT in diagnosing lymph node metastasis (1,24,31-33). The role of CT in clinical practice cannot be neglected because US is

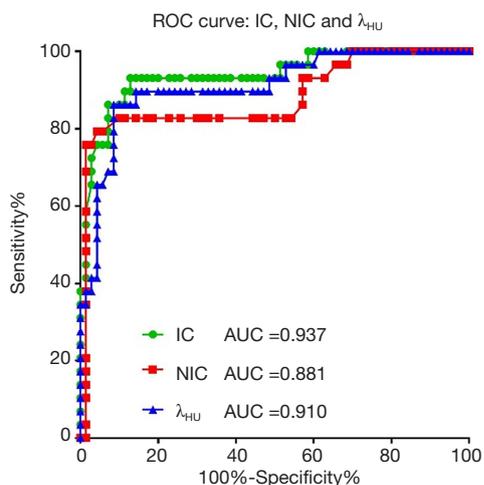
**Table 3** The GSI parameters of lymph node metastasis and non-metastasis groups

Parameter	Metastasis (n=70)	Non-metastasis (n=29)	t <sup>a</sup>	P <sup>a</sup>	Cutoff value	Sensitivity (%)	Specificity (%)	Accuracy (%)
IC (mg/mL)	4.05±1.68	1.78±0.72	9.446	<0.001	>2.56	87.1	93.1	88.9
NIC	0.73±0.61	0.28±0.16	5.599	<0.001	>0.29	97.1	75.9	90.9
Slope( $\lambda_{HU}$ )	4.76±2.04	2.21±2.93	8.549	<0.001	>2.69	91.4	86.2	89.9

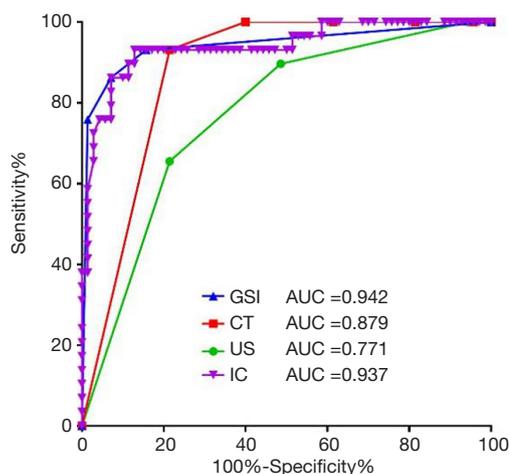
<sup>a</sup>, stands for *t*-test between the metastasis group and the non-metastasis group. GSI, gemstone spectral image; IC, iodine concentration; NIC, normalized iodine concentration.



**Figure 4** IC (A), NIC (B) and  $\lambda_{HU}$  (C) for lymph node metastasis and non-metastasis groups. IC, iodine concentration; NIC, normalized iodine concentration.



**Figure 5** ROC curves of IC, NIC, and  $\lambda_{HU}$  in diagnosing lymph nodes metastasis. ROC, receiver operating characteristic; IC, iodine concentration; NIC, normalized iodine concentration.



**Figure 6** The ROC curves of combined GSI, combined CT morphological, combined US morphological parameters and single parameter IC. ROC, receiver operating characteristic; GSI, gemstone spectral image; CT, computed tomography; US, ultrasonography; IC, iodine concentration.

**Table 4** The diagnostic value of combined GSI, combined CT morphological parameters, combined US morphological parameters and a single parameter IC

Parameters	AUC	95% CI		Cutoff value	Sensitivity (%)	Specificity (%)	Accuracy (%)
		Lower	Upper				
GSI	0.942	0.881	1.000	$\geq 2$	92.9	86.2	90.9
CT	0.879	0.814	0.945	$\geq 3$	78.6	93.1	82.8
US	0.771	0.673	0.870	$\geq 1$	78.6	65.5	74.7
IC	0.937	0.883	0.994	$>2.56$ mg/mL	87.1	93.1	88.9

GSI = combined GSI parameter; CT = combined CT morphological parameters; US = combined US morphological parameters; IC = a single parameter IC. AUC, area under the curve; GSI, gemstone spectral image; CT, computed tomography; US, ultrasonography; IC, iodine concentration.

often dependent on operators (1,18,34,35).

CT morphological parameters such as size, shape, margins, calcification and cystic degeneration have been widely used to diagnose lymph node metastasis of thyroid cancer (18,34,35). Although the shape and margin features of lymph nodes contribute to the diagnosis of metastasis, the signs are significantly influenced by the observer's subjective assessment and have limited reliability. In our study, the sensitivity (45.7%, 37.1%) and accuracy (61.6%, 55.6%) of shape and margin on conventional CT imaging were low, and the diagnostic value was limited. Calcification and cystic degeneration are easily detected by CT, with high specificity. However similar to previous studies (3,11,19,20),

we also found that the sensitivity (18.6%, 37.1%) and accuracy (42.4%, 55.6%) were very low, resulting in limited practical value.

The normal thyroid gland can uptake iodine. PTC and its metastases can also uptake iodine (36,37). Enhanced spectral CT imaging can reconstruct both iodine-based MD images and monochromatic spectral images. Iodine-based MD images can be used to estimate quantitatively IC. Monochromatic spectral images can be used to generate a spectral HU curve for calculating its slope. The added MD images and spectral HU curve can help differentiate benign and malignant lesions (21-23,38), especially in diagnosing the cervical lymph node metastasis of PTC (15). In our

study, we found that the IC, NIC and  $\lambda_{HU}$  of metastatic group was significantly higher than that in non-metastatic group, similar results also were reported in previous studies (15). Our results indicated that GSI parameters (IC, NIC,  $\lambda_{HU}$ ) were valuable in diagnosing lateral cervical lymph node metastasis in PTC patients. The diagnostic value of IC alone was significantly higher than that of the combined US morphological parameters (AUC: 0.937 vs. 0.771,  $P < 0.05$ ). The combined GSI parameters showed higher diagnostic value compared with IC, but the difference was not statistically significant. This may partially be due to the high value of each parameter. Besides, NIC was calculated based on IC. Therefore, there was no significant difference between combined GSI parameters and IC in terms of the diagnostic value for cervical lymph node metastasis. Increased IC may be related to the increased tumor blood supply and consequently increased uptake of iodine (36,37,39-41).

The sensitivity of IC (cut-off value 2.56 mg/mL) in the diagnosis of lymph node metastasis was 87.1%. Nine lymph nodes gave a false negative result, 3 of which showed microcalcification while 3 showed cystic degeneration in CT images. The sensitivity of spectral CT imaging may improve to 95.7% if the 6 lymph nodes were diagnosed with metastases. IC had a high value for the diagnosis of lymph node metastasis and was less affected by the observer's subjective judgment. Combining CT morphological signs such as calcification and cystic degeneration with GSI parameters such as IC could further improve the diagnostic accuracy.

There are several limitations to this study. First, the sample size was small, ninety-nine lymph nodes from thirty patients were included in the study, which results in a certain level of homology, reducing the reliability of the results. Thus, their representativeness is limited. However, the value of this study is to explore the feasibility of this method, especially to use the multi-parameter objective index of spectral CT to replace the traditional US and CT methods with subjective judgment as the main diagnostic basis. Nonetheless, a small number of statistically significant differences were elucidated. These cases represent 30 patients, thus showing that the study method has certain representativeness and repeatability. We still need to further expand the sample size for analysis and comparison. Second, the prophylactic lateral compartment neck dissection is not mentioned in the guidelines. Lateral compartment neck dissection was not performed if there were negative findings in the imaging evaluations. Therefore, the number of

metastatic lymph nodes was significantly higher than that of non-metastatic lymph nodes, which may lead to bias in the results and may potentially affect sensitivity and accuracy. Furthermore, all lymph nodes assessments were performed by one experienced radiologist, and we did not examine interobserver agreement. The study aimed to compare the diagnostic value of three methods: GSI parameters, CT morphology, and ultrasound morphology. Parameters of GSI is a new method for diagnosing lymph node metastases. It is an objective method. In contrast, CT morphology and ultrasound morphology are subjective diagnostic methods, but they are classic diagnostic methods.

## Conclusions

Compared with qualitative assessment with US morphological parameters, GSI parameters showed a higher diagnostic value for detection of lateral cervical lymph node metastasis in PTC patients. IC alone showed excellent diagnostic stability and could be performed easily. Combining CT morphological signs such as calcification and cystic degeneration with spectral CT specific parameters such as IC could further improve the diagnostic value.

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## Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was approved by the Institutional Ethics Review Board (National Cancer Center/National Clinical Research Center for Cancer/Cancer Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College NCC2016 NZ-03). Each patient was consent informed.

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