10-year outcomes post coronary artery bypass grafting in Asian patients with ischemic cardiomyopathy: a comprehensive analysis of survival and cardiac performance


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Background: Coronary artery bypass grafting (CABG) is the standard of care for patients with ischemic cardiomyopathy (ICM). Despite recent evidence supporting the role of CABG, long term outcomes for patients with ICM remain poor and 10-year results post CABG in ICM patients are under-reported, especially among Asians. Uncertainty on whether CABG improves cardiac performance and survival in the long term remains. In this study, we aim to analyze 10-year results concerning cardiac performance and survival post CABG in Asian patients with left ventricular ejection fraction (LVEF) ≤35% and predominant heart failure symptoms, and identify perioperative risk factors affecting long term survival and cardiac function.

Methods: Thirty-six patients with LVEF <35% who had CABG performed between the year 2006–2009 were selected from local hospital records for retrospective analysis. Outcomes of interest included post-operative cardiac symptoms, LVEF & 10-year all-cause and cardiac-event free survival. Survival analysis was performed using Kaplan Meier analysis, and predictive factors were identified with log- rank test and logistic regression analysis.

Results: The mean age of the cohort was 62.9±9.9 years. Operative mortality within 30 days was 5.6%. The 10-year all-cause mortality rate was 55.6%. The mean duration of survival was 105.9±8.3 months. Of the patients who did not survive till 10 years, 65.0% died of cardiac-related causes, with non-ST elevation myocardial infarction being the commonest cause. CABG improved LVEF (24.9% to 32.2%; P<0.001) and 66.7% of patients remained with impaired LVEF ≤35% post CABG. Post op NYHA class 3–4 symptoms (OR: 6.3; P=0.012) was the only predictive factor for 10 year all-cause mortality and post op LVEF improvement ≥5% (OR: 5.8; 95% CI, 1.1–29.9; P=0.036) was associated with improvement in NYHA class. Completeness of revascularization and viability of myocardium were not predictive of survival or changes in LVEF or NYHA class.

Conclusions: The 10-year survival rates of Asian patients with ICM were similarly disappointing as its counterparts in the west. A majority of patients still suffered from cardiac-event related deaths. Post CABG NYHA class was found to be important in determining success and adequacy of treatment in patients with ICM and improvement in LVEF ≥5% was predictive of improvement of symptoms. Neither completeness of revascularization or presence of myocardial viability had any impact on survival in our patient cohort.

Keywords: Coronary artery disease (CAD); coronary artery bypass; cardiomyopathies; myocardial ischemia

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**Introduction**

Coronary artery disease (CAD) with impaired left ventricular ejection fraction (LVEF) is associated with high risks of mortality and morbidity. Patients are at risk of sudden death, ventricular arrhythmias and debilitating heart failure or anginal symptoms requiring repeated hospital admissions. Not only is this a major burden to healthcare institutions, choosing the appropriate management strategy for these patients is often challenging. CAD is the predominant etiology of left ventricular dysfunction (1), making up 60% of patients in the Acute Decompensated Heart Failure National Registry (2). Despite its significance, the role of CABG in ischemic cardiomyopathy (ICM) is understudied and guidance on the appropriate treatment for ICM is lacking. Historical studies have either excluded patients with poor LVEF or just included cardiomyopathy as part of subgroup analysis. Additional studies have showed a benefit in CABG in impaired LVEF with anginal symptoms but not so in patients with predominant heart failure symptoms. The STICH trial (3) is the only randomized controlled trial to date that has helped define the place of CABG in ICM, and CABG is now established as the first line therapy for patients with ICM and anatomy suitable for CABG.

However, there is still lingering uncertainties about appropriate patient selection for CABG and doubts exist about the mechanism as to how successful revascularization translates into improved patient outcomes. Apparently, heart failure itself is a complex syndrome, and it is clear that outcomes do not solely hinge on the success of a single treatment modality (4,5). To further one’s understanding, one must seek wisdom from past experiences. To our knowledge, reports on 10-year survival of ICM patients post CABG are few, and especially so in the locality of South East Asia. In our analysis we aim to study the long-term survival outcomes of patients with ICM and predominant heart failure symptoms post CABG in a cohort of patients in Hong Kong. Another important objective of this study is to compare the changes in cardiac performance and symptoms before and after CABG in patients with ICM, as well as to analyze association of various perioperative factors with survival and post-operative cardiac symptoms and performance.

**Methods**

Between 2006–2009, 36 out of 676 (5%) patients underwent coronary artery bypass grafting (CABG) for ischemic cardiomyopathy (ICM) at the Prince of Wales Hospital, Hong Kong. These patients were selected from a local cardiac surgery registry and relevant clinical data were retrospectively extracted from electronic patient records for further analysis. Inclusion criteria for analysis included (I) age ≥18; (II) presence of predominant heart failure symptoms despite medical therapy; (III) documented LVEF of ≤35% from echocardiographic study; (IV) coronary anatomy suitable for coronary artery bypass grafting. All patient data had been secured and kept confidential.

The primary outcome of interest was 10-year all-cause survival. All 36 patients had data reviewed up to 10 years after CABG. Secondary outcomes were also analyzed and included 10-year cardiac-event related mortality rates, post-operative New York Heart Association (NYHA) classification and post-operative LVEF. Cardiac related mortality was defined as deaths relating to cardiovascular causes including sudden deaths due to ventricular arrhythmias or unknown causes, cardiac pump failure, myocardial infarction (MI), procedural related death and cerebrovascular attacks.

Procedural records were retrospectively analyzed and operative factors were taken into account. Completeness of revascularization was defined as the number of distal anastomosis completed in accordance with the number of diseased coronary vessels with stenosis ≥70% in correspondence to respective coronary territories. Surgeon’s appraisal for the quality of coronary vessels was recorded and reviewed. Quality of coronary vessel was classified into 4 groups: (I) good; (II) moderate patchy disease; (III) severe diffused disease; (IV) require endarterectomy. Diameters of distal native vessels < or ≥1.5 mm were also recorded. Guideline directed medical therapy (GDMT) was defined as the use of antiplatelet agents, beta-blocker, angiotensin converting enzyme inhibitor/angiotensin receptor blocker statins and diuretics.

**Statistical analysis**

The perioperative 10-year data was analyzed using IBM SPSS statistics® Version 25. Continuous variables are presented as mean ± standard deviations. Categorical variables are expressed as frequencies and percentages. Kaplan-Meier analysis was performed for survival analysis. Differences between means were calculated with student t test, and differences between frequencies were compared with Chi-square test, with P values ≤0.05 considered
Univariate analysis of perioperative factors predictive of survival were analyzed with the log-rank test. Significant predictors of mortality had P values ≤0.05. Analysis of association between categorical or continuous variables and outcomes of interest were performed with binary logistic regression studies. If more than one factor was found to be associated with an outcome, multivariate analysis was performed to adjust for confounders.

### Results

#### Patient demographics

Table 1 displays the baseline characteristics of the studied population. The mean age of the cohort was 62.9±9.9 years. There was a male predominance in the cohort with 83.3% male patients. A majority (97.2%) of patients had hypertension and diabetes mellitus. The mean logistic Euroscore was 11.7±2.7, as a reference, the mean logistic Euroscore for operated patients with preserved LVEF in the same period in our center was 3.1±1.2. More than half (52.7%) of patients were in NYHA class 3–4 symptoms before CABG despite on GDMT. The use of GDMT was 94%. Two thirds (60%) of patients had myocardial infarction within 30 days of operation. The mean number of diseased coronary vessels with stenosis ≥70% was 2.75±0.5. Most (77.8%) patients had triple vessels disease and 38.9% had left main stem disease. The mean pre operation LVEF was 24.9±5.2 and 61.1% of patients had akinesia on echocardiogram. More than half (58.3%) of patients had viability study performed before CABG, with 52.4% having had a nuclear perfusion scan and 33.3% MRI viability test. Less than half (42.9%) of the population had presence of non-viable segments, and 22.2% of these patients had non-viable myocardium across 2 coronary territories.
Table 2: Procedural details

<table>
<thead>
<tr>
<th>Operative details</th>
<th>Mean ± SD or frequencies (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bypass time (min)</td>
<td>101.8±35.5</td>
</tr>
<tr>
<td>Ischemic time (min)</td>
<td>60.4±33.6</td>
</tr>
<tr>
<td>No. of distal anastomosis</td>
<td>2.9±0.8</td>
</tr>
<tr>
<td>1</td>
<td>1/36 (2.8)</td>
</tr>
<tr>
<td>2</td>
<td>4/36 (11.1)</td>
</tr>
<tr>
<td>3</td>
<td>25/36 (69.4)</td>
</tr>
<tr>
<td>4</td>
<td>6/36 (16.7)</td>
</tr>
<tr>
<td>Left internal mammary artery use</td>
<td>35/36 (97.2)</td>
</tr>
<tr>
<td>Arterial graft use</td>
<td>0.9±0.2</td>
</tr>
<tr>
<td>Vein graft use</td>
<td>1.9±0.7</td>
</tr>
<tr>
<td>Complete revascularization</td>
<td>31/36 (86.1)</td>
</tr>
<tr>
<td>Post op inotrope usage (any inotrope)</td>
<td>34/36 (94.4)</td>
</tr>
<tr>
<td>Low dose (&lt;10 mL/h)</td>
<td>31/34 (91.2)</td>
</tr>
<tr>
<td>Moderate to high dose (&gt;10 mL/h)</td>
<td>3/34 (8.8)</td>
</tr>
<tr>
<td>IABP use (before/after CABG)</td>
<td>6/36 (16.7)</td>
</tr>
<tr>
<td>Severe diffused disease (n=31)</td>
<td>14/31 (45.2)</td>
</tr>
<tr>
<td>No. of native target vessels &lt;1.5 mm</td>
<td>49/91 (53.8)</td>
</tr>
</tbody>
</table>

Procedural details

Table 2 summarizes the procedural details. 88.9% of patients had CABG in an elective setting. The mean number of distal anastomosis performed was 2.9±0.8. The use of left internal mammary artery (LIMA) was 97.2%, and the mean arterial graft use was 0.9±0.2. The average cardiopulmonary bypass time was 101.8±35.5 min, and ischemic time was 60.4±33.6 min. The rate of complete revascularization was 86.1%. 45.2% patients were considered to have severe diffusely diseased coronaries and 53.8% of the grafted distal target vessels were <1.5 mm.

Survival

The 30-day mortality rate was 5.6% and all deaths were procedural related. As a benchmark, the 30-day mortality rate for patients with preserved LVEF operated during the same period was 0.9%. For our cohort with ICM, the 10-year all cause mortality rate by the Kaplan Meier analysis was 55.6%, and the mean survival duration was 105.9±8.3 months (Figure 1). Actuarial survival by life tables showed a similar survival rate of 44% at 10 years. 65% of deaths at 10 years were cardiac-related. The 10-year cardiac-event free survival was 63.9% (Figure 2). The commonest cause of cardiac-related death was non-ST elevation myocardial infarction (NSTEMI), followed by cardiac pump failure with recurrent and worsening heart failure. For non-cardiac related deaths, the causes of death were evenly distributed amongst gastrointestinal bleeding, malignancy and infection with rates at 28.6% respectively (Table 3).

Cardiac symptoms and LVEF

58.8% of patients had repeated (>1) admissions for cardiac-related events at 10 years. There was a non-significant trend towards improved symptoms post CABG with 32.3% patients remaining in NYHA class 3–4 symptoms.
post CABG compared to 50% before CABG (P=0.14) (Figure 3). Post CABG mean LVEF improved from 24.9% to 32.2% (P<0.001) (Figure 4). Sixty-six percent of patients post operation remained with LVEF <35% and 53.3% patients had LVEF improvement by 5% or more. 16.6% of patients required cardiac resynchronization therapy (CRT) or implantation of implantable cardioverter defibrillator (ICD). The GDMT rate was more than 90%.

Risk factor analysis with survival and changes in cardiac symptoms and LVEF

Post op NYHA class 3–4 was the only factor associated with 10-year all-cause mortality with OR of 6.3 and P value =0.012. The mean survival of patients in post op NYHA class 3–4 was 89.7±11.7 months (95% CI, 66.7–112.7 months), versus 120.3±8.5 months (95% CI, 103.8–136.9 months) in patients with NYHA class 1–2 symptoms (Figure 5). Improvement in LVEF ≥5% post CABG showed a tendency to improve 10-year survival, but the effect was not statistically significant (P=0.132). The presence of diabetes mellitus showed a trend towards worsened 10-year all cause survival (64.3% vs. 31.8% 10-year all cause survival), but the difference was not statistically significant (P=0.12). All patients with insulin dependent diabetes died at 10 years, but analysis was not
possible as the at-risk population was only 4.

Post op NYHA class 3–4 was also associated with worsened 10 year cardiac-event related mortality, with mean cardiac-related event free survival of 99.3±11.8 months in the NYHA class 3–4 group & 135.7±6.6 months in the class 1–2 group (P=0.001) (Figure 6).

Complete revascularization and presence of all viable segments was not associated with all cause or cardiac-event related mortality. Neither of the two factors had association with post op LVEF improvement or post op NYHA class.

LVEF improvement by 5% or more was associated with improvement in NYHA class with OR 5.8 (95% CI, 1.1–29.9) and P=0.036. In addition, LVEF <5% increase was associated with increased odds of readmission for cardiac events (OR: 6; 95% CI, 1.0–36.0; P value 0.05). On univariate analysis, absence of diffused coronary disease (OR: 5.5; 95% CI, 1.0–28.9; P=0.04) and presence of graftable targets ≥1.5 mm (OR: 9.6; 95% CI, 0.98–94.5; P=0.05) were associated with LVEF improvement ≥5% post CABG. However neither factors reached a significant correlation with LVEF improvement on multivariate analysis.

**Discussion**

Reports of 10-year survival post CABG in patients with ICM with LVEF ≤35% are uncommon, especially in Southeast Asia. The Asian population with ischemic heart disease differs from that of the west in that there is a higher incidence of diabetes mellitus among cardiac patients, and generally Asians have smaller body habitus. As a result, coronary targets are often small and the native vessels are commonly heavily diseased in multiple segments with calcified plaques. European guidelines for CABG define appropriate revascularization as grafting of all vessels 1.5 mm or above with significant stenosis (6), but in our daily practice, 1.5 mm distal targets are not the norm. In our cohort, 45% patients had diffusely diseased coronary disease and 53.8% had distal grafting sites <1.5 mm. Hence outcomes from studies from western countries may not be entirely applicable to our patient population. Generally, poor LVEF is associated with higher rates of short term mortality up to 2 years post CABG. In ICM patients, there is a fine balance between survival benefit and operative risk. Subjecting patients to a risky procedure with little chance of improving prognosis and symptoms should best be avoided. Our study offers a comprehensive analysis of 10-year outcomes of CABG in patients with predominant heart failure symptoms and LVEF ≤35% in an Asian population. The landmark STICH trial remains the only randomized controlled trial on CABG and ICM published to date, and recently the 10-year results were reported in the STICHES trial (7). The 10-year all-cause mortality in
our cohort is similar to that in the STICHES trial at 45.4% and 41.4%. The cardiovascular death rate was 40% in the STICHES trial, while in our population the cardiovascular death rate was 36.3%. It appears that even across different continents, the long-term prognosis of ICM is universally disappointing, and still around 40% of patients suffered from a cardiovascular death despite revascularization.

The real-life situation of ICM post CABG is sobering, and a reasonable question to ponder is whether CABG indeed improves cardiac performance and symptoms, and whether survival in patients with ICM is dependent upon improvement in cardiac contractility. In our analysis, we found that CABG improved mean LVEF, but only by a margin of 8%. 66% of the patients remained with LVEF ≤35%. CABG also improved NYHA symptom class, with more patients moving to NYHA class 1–2 post operation.

Improvements in LVEF by more than 5% was not associated with improvement in all cause or event free survival, but post operation NYHA class 3–4 symptom was associated with significantly worse prognosis. LVEF improvement ≥5% was positively correlated with improvement in NYHA classification from class 3–4 to class 1–2. Multiple studies demonstrated benefits of CABG in ICM independent of improvement in LVEF (8,9). Samady et al. showed changes in LVEF did not alter outcomes post revascularization (10), while others found improvement in LVEF correlated with improved clinical outcomes (11,12). Clearly, LVEF is not the sole determining factor of survival or symptoms in ICM, and the NYHA classification being a more general clinical appraisal, represents a more holistic assessment of the patient's well-being. Hence it is no surprise that patients in NYHA class 3–4 post revascularization have worse survival. But does improvement in LVEF matter? From the literature worldwide, a few conclusions can be made (13). Firstly, not every patient has improved LVEF post CABG, but if LVEF is improved post CABG, survival is likely better. Secondly, even if there is no improvement in LVEF, revascularization must be conferring benefits other than improvements in contractility that favor survival, such as electrical or rhythm stability or protection against further ischemia. Cardiac remodeling, taking into account heart volumes, is now an important endpoint to determine success or adequacy of treatment in heart failure patients. From the STICH trial, patients with indexed left ventricular end systolic volume >70 mL/m² had worse survival and poorer symptom resolution (14). We did not have these volumes available from the registry records, however it would be interesting to see how many patients achieved remodeling post CABG and how reverse remodeling would impact survival.

To optimize outcomes post CABG, selecting patients that will benefit from revascularization is of great importance. We found that improvement in LVEF ≥5% played a part in improving symptoms, and improvement of heart failure symptoms correlated with better prognosis, but we were not able to identify perioperative factors that would predict LVEF improvement. Univariate analysis showed diffused coronary disease and poor targets had negative effects on LVEF, but the correlation was not significant. The concept of revascularizing hibernating viable myocardium to effect improvement in contractility is of great interest in the management of ICM (15). It seems intuitive that revascularizing viable myocardium should improve cardiac performance, but evidence is inconclusive in this respect. A sub study from the STICH trial did not show any correlation between viability study and survival, and so far no conclusive comparative trials have been able to establish a differentiating role of viability study (16). Allman et al. showed viability testing had a positive impact on prognosis in patients with ICM & Pagano et al. found that viable myocardium was predictive of symptomatic and functional improvement post CABG (17,18). Di Carli et al. and Pasquet et al. found viable myocardium to be of prognostic value in terms of survival and symptoms improvement (19,20). We were not able to show a positive correlation of improved survival, heart failure symptoms or LVEF with the presence of reversible viable myocardium. This may be explained by the small sample size and heterogeneity in use of different investigations with different accuracies in establishing viability. Around two thirds of our cohort underwent viability study and over 50% were subjected to nuclear perfusion scans. Cardiac MRI is the current gold standard for assessment of myocardial viability, it allows for revelation of transmurality of infarcts which offers excellent quantification of viability according to late gadolinium enhancement (LGE). Nonetheless, there is no consensus on what extent of non-viability is considered non salvageable by revascularization.

To date, there is no randomized controlled trial comparing percutaneous coronary intervention (PCI) to CABG in ICM. The superiority of CABG in ICM is inferred from large randomized studies on patients with preserved LVEF, and reports in non-randomized trials. In a large registry from New York, propensity matched analysis showed PCI to have lower 30 day stroke risks and comparable survival at 4 years, but with higher rates of revascularization and cardiac events. The main advantages
of CABG over PCI include complete revascularization, lower rates of repeat revascularization and cardiac events as well as better long term survival (21). These benefits tend to be more pronounced beyond 5 years and the upcoming SYNTAXES trial results show this benefit continues to diverge in favor of CABG at 10 years. It is not unreasonable to assume the benefits of CABG over PCI in patients with ICM, especially the need for repeat revascularization and repeated cardiac events, but it is important to note that patients with ICM are very different from the more commonly encountered population of CAD with preserved LVEF. The pathophysiology behind ICM is more complex and multiple factors decide outcomes. Interestingly, from our data, we could see a disproportionate drop in survival after 5–6 years post CABG. The 5-year all-cause mortality was 19.4% but rose to 55.6% at 10 years and the commonest cause of death was cardiac related, with NSTEMI topping the list. In 2006–2009, the transit-time flow meter was not available to document immediate graft flow & patency, we could not substantiate or review the intraoperative quality of revascularization. While currently in our center all grafts are subject to flow assessment during the operation, it is not a routine practice for us to perform prospective angiograms to evaluate distal quality, hence all distal anastomosis analysis were only performed ad hoc in patients with symptoms or cardiac events. Among the 8 patients with recurrent NSTEMI, only 1 patient had stenotic grafts with percutaneous stenting done to native vessels. This suggests that graft patency may not be the sole cause of NSTEMI. An explanation for the recurrence of cardiac events may be due to the possibility that some of the grafted targets were small with suboptimal runoffs, and that grafting them offered little to no protection from further ischemic events. The 30-day mortality rate post CABG was 5.6% in our cohort, which is respectable given the high logistic Euroscore of the group, but this nonetheless serves as a reminder that CABG in ICM patients remains a risky endeavor. This is in stark contrast to the 0.9% 30-day mortality of patients we operated on with preserved LVEF. On the other hand, PCI is a less invasive option with lower risks of short-term stroke risks, shorter length of stay and recovery. The role of PCI cannot be discounted in ICM as the short term risks of PCI is lower than CABG. If long term prognosis for ICM patients remains poor regardless of revascularization by CABG, and uncertainties remain in the success of complete revascularization in the face of poor targets and questionable myocardial viability, more efforts should be devoted to define the role of PCI in ICM (22).

CABG has evolved through the years, and our practice in 2006–2009 was to routinely graft the left internal mammary artery to the left anterior descending artery and saphenous vein grafts to the other coronaries. Off pump CABG is rarely practiced and the use of multiple arterial grafts has not gained traction. Concerns over inotrope induced vasospasm in the post-operative setting and the need to minimize operative and bypass time has limited multiple arterial grafts use in ICM patients. Given the suboptimal patency of saphenous vein grafts, and emerging evidence of improved survival and patency of arterial grafts, it will be interesting to see how total arterial revascularization improves 10-year survival in ICM patients.

This study is small scaled and retrospective in nature, any solid conclusion from this analysis should be conservative. Intrinsic limitations of retrospective analysis include measurement bias, selection bias and lack of control for confounding factors. Association does not imply causation, hence the findings of this study is at best hypothesis generating. In addition there is no comparative group, hence the study is not meant to reach differentiating conclusions among different treatments. It was designed to study outcomes and perform in-depth analysis of patients with ICM who underwent CABG.

As a matter of fact, it must be stressed that uncertainties remain as to how best to achieve improved outcomes in patients with ICM. The emergence of new anti-heart failure medications, antiplatelet agents and catheter-based treatment offers promise in outcome improvement post revascularization. Nonetheless for surgeons, selecting the appropriate patients for CABG remain the most significant part of treatment of ICM. After all, there is more to management of ICM than just a few anastomosis. It goes without saying that patients with ICM should be managed by a multidisciplinary HEART team (23,24).

Conclusions

Our analysis on a cohort of Asians with ICM and predominant heart failure symptoms has demonstrated similar 10-year survival of ICM post CABG to that of studies from the west. We were able to show that improvement in heart failure symptoms remain the most significant indicator of adequacy of treatment in ICM. Among other factors, improvement in LVEF by 5% or more predicted symptoms improvement which in turn correlates with 10-year survival. Similar studies on East Asian population are rare, and our study offers insights...
into the ever-expanding field of management of patients with heart failure, especially in Asia where epidemiology differs from the west. We have shown that heart failure is a complex syndrome which requires multi-disciplinary treatment and that revascularization itself may not be adequate to improve survival throughout the years, CABG, PCI and medical therapy have progressed respectively. Past studies were not able to account for this change. More studies are needed to refine revascularization strategies in ICM and more effort should be directed into exploring the role of contemporary PCI and GDMT in ICM. A multidisciplinary approach should be applied in treatment of ICM, and most importantly, appropriate selection of patients should be every surgeon’s priority.

Acknowledgments

None.

Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This cardiac surgical local registry was approved by the Hong Kong Hospital Authority and the Government of Hong Kong SAR to allow collection, analysis, reporting and outcome tracking of patient data since its introduction in 2007.

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