

Instructions

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

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González de Aledo Castillo 1



| Section 1. | Identifying Inform | nation | | |
|--|----------------------------|--|--|--|
| 1. Given Name (Fii José Manuel | rst Name) | 2. Surname (Last Name) González de Aledo Cas | tillo | 3. Date 02-October-2020 |
| 4. Are you the cor | responding author? | Yes Vo | Corresponding Author's Na Joan Antón Puig-Butillé | me |
| laboratory practi | cterization of advanced | | ncer patients by cfDNA analy | rsis: experience from routine |
| o. Manuscript idei | itilying Number (ii you ki | iow it) | | |
| Section 2. | The Work Under Co | onsideration for Publ | ication | |
| any aspect of the s statistical analysis, Are there any relo | ubmitted work (including | but not limited to grants, c | m a third party (government, co data monitoring board, study de | mmercial, private foundation, etc.) for esign, manuscript preparation, |
| Section 3. | Relevant financial | activities outside the | submitted work. | |
| of compensation clicking the "Add | ı) with entities as descri | ibed in the instructions. Uport relations his we | Jse one line for each entity; a | ationships (regardless of amount add as many lines as you need by nonths prior to publication. |
| Section 4. | Intellectual Proper | rty Patents & Copyr | ights | |
| Do you have any | patents, whether plan | ned, pending or issued, b | proadly relevant to the work? | ? Yes 🗸 No |

González de Aledo Castillo 2



| Section 5. Relationships not covered above |
|---|
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work? |
| Yes, the following relationships/conditions/circumstances are present (explain below): ✓ No other relationships/conditions/circumstances that present a potential conflict of interest |
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| Section 6. Disclosure Statement |
| Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below. |
| Dr. González de Aledo Castillo has nothing to disclose. |

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González de Aledo Castillo 3



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Royalties: Funds are coming in to you or your institution due to your patent

Arcocha 1



| Section 1. | Identifying Inform | ation | | | |
|---|--------------------------------|---------------------------|---------------|-----------------------------|-------------------------------|
| 1. Given Name (Fi | rst Name) | 2. Surname (La Arcocha | ast Name) | | 3. Date 21-October-2020 |
| 4. Are you the cor | responding author? | Yes ✓ | No | Corresponding Author's Na | me |
| laboratory practi | cterization of advanced ice | | l Lung Canc | er patients by cfDNA analy | ysis: experience from routine |
| 6. Manuscript Ider | ntifying Number (if you kn | ow it) | | | |
| | ı | | | | |
| Section 2. | The Work Under Co | onsideration | for Public | ation | |
| Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No | | | | | |
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| Section 3. | Relevant financial | activities out | tside the s | ubmitted work. | |
| Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . | | | | | |
| Are there any rel | evant conflicts of intere | est? Yes | √ No | | |
| | I | | | | |
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| Do you have any | patents, whether plan | ned, pending o | r issued, bro | oadly relevant to the work? | ? ☐ Yes ✓ No |

Arcocha 2



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Victoria 1



| Section 1. Identifying | g Information | | |
|---|------------------------------------|---|--|
| 1. Given Name (First Name) Iván | 2. Surname (Last Name) Victoria | 3. Date 13-October-2020 | |
| 4. Are you the corresponding aut | hor? Yes 🗸 No | Corresponding Author's Name Joan Antón Puig-Butillé | |
| 5. Manuscript Title Molecular characterization of a laboratory practice | advanced Non-Small Cell Lung Can | cer patients by cfDNA analysis: experience from routine | |
| 6. Manuscript Identifying Numbe | r (if you know it) | | |
| | | | |
| Section 2. The Work U | Jnder Consideration for Publi | cation | |
| Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes Vo | | | |
| Section 2 | | | |
| Section 3. Relevant fi | nancial activities outside the | submitted work. | |
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| Are there any relevant conflict | s of interest? Yes V No | | |
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| Section 4. Intellectua | l Property Patents & Copyri | ghts | |
| Do you have any patents, whe | ther planned, pending or issued, b | roadly relevant to the work? Yes V No | |

Victoria 2



| Section 5. | | |
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| | elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work? | |
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Martínez-Puchol 1



| Section 1. | Identifying Inform | ation | |
|---|---------------------------|---|---|
| 1. Given Name (Firs Ana Isabel | t Name) | 2. Surname (Last Nan Martínez-Puchol | ne) 3. Date 06-October-2020 |
| 4. Are you the corre | esponding author? | Yes ✓ No | Corresponding Author's Name Joan Antón Puig-Butillé |
| 5. Manuscript Title Molecular charact laboratory practic | | Non-Small Cell Lung | Cancer patients by cfDNA analysis: experience from routine |
| 6. Manuscript Ident | tifying Number (if you kn | ow it) | |
| | | | |
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| any aspect of the su statistical analysis, e | bmitted work (including | but not limited to gran | from a third party (government, commercial, private foundation, etc.) for ts, data monitoring board, study design, manuscript preparation, No |
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| Section 4. | Intellested Durane | to Datauta & Com | |
| | Intellectual Proper | ty Patents & Cop | byrights — |
| Do you have any p | oatents, whether planr | ned, pending or issue | d, broadly relevant to the work? Yes V No |

Martínez-Puchol 2



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|--|
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Sánchez Cárdenas 1



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|---|---|---|--|--|
| Given Name (Find Cristina | rst Name) | 2. Surname (Last Name) Sánchez Cárdenas | 3. Date 22-October-2020 | |
| 4. Are you the cor | responding author? | Yes ✓ No | Corresponding Author's Name Joan Anton Puig-Butille | |
| 5. Manuscript Title Molecular charac laboratory practi | cterization of advanced | l Non-Small Cell Lung Can | cer patients by cfDNA analysis: experience from routine | |
| | ntifying Number (if you kr | now it) | | |
| | | | _ | |
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| any aspect of the s statistical analysis, | stitution at any time rece ubmitted work (including | ive payment or services from but not limited to grants, da | a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation, | |
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| Section 4. | | | | |
| Section 4. | Intellectual Proper | rty Patents & Copyric | ints | |
| Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo | | | | |

Sánchez Cárdenas 2



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Jares 1



| Section 1. | Identifying Inform | ation | | |
|--|---|--|--|--|
| 1. Given Name (Fir Pedro | st Name) | 2. Surname (Last Name) Jares | 3. Date 10-October-2020 | |
| 4. Are you the corr | esponding author? | Yes ✓ No | Corresponding Author's Name Joan Antón Puig-Butillé | |
| 5. Manuscript Title Molecular charac laboratory practi | terization of advanced | Non-Small Cell Lung Cand | ter patients by cfDNA analysis: experience from routine | |
| 6. Manuscript Ider | itifying Number (if you kn | ow it) | | |
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| Section 2. | The Work Under Co | onsideration for Public | ation | |
| any aspect of the si statistical analysis, | titution at any time recei ubmitted work (including | ive payment or services from but not limited to grants, da | a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation, | |
| Section 3. | Relevant financial | activities outside the s | ubmitted work. | |
| Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes V | | | | |
| Section 4. | | | | |
| Section 4. | Intellectual Proper | ty Patents & Copyrig | hts | |
| Do you have any | patents, whether plan | ned, pending or issued, br | oadly relevant to the work? Yes V No | |

Jares 2



| Section 5. | |
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| | elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work? |
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| ✓ No other rela | tionships/conditions/circumstances that present a potential conflict of interest |
| | anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships. |
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| Dr. Jares has not | hing to disclose. |

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Jares 3



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Royalties: Funds are coming in to you or your institution due to your

Rodríguez 1



| Section 1. | Identifying Inform | ation | | | |
|--|----------------------------|---|--|--|--|
| 1. Given Name (Fi Gabriel Felipe | rst Name) | 2. Surname (Last Name) Rodríguez | 3. Date 07-October-2020 | | |
| 4. Are you the cor | responding author? | g author? Yes V No Corresponding Author's Name Joan Antón Puig-Butillé | | | |
| 5. Manuscript Title Molecular charac laboratory practi | terization of advanced | Non-Small Cell Lung Cand | cer patients by cfDNA analysis: experience from routine | | |
| 6. Manuscript Ider | ntifying Number (if you kn | ow it) | | | |
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| any aspect of the s statistical analysis, | ubmitted work (including | but not limited to grants, da | a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation, | | |
| Section 3. | Relevant financial | activities outside the s | ubmitted work. | | |
| of compensation clicking the "Add |) with entities as descri | bed in the instructions. Us port relationships that wer | ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e present during the 36 months prior to publication . | | |
| Section 4. | | | | | |
| Section 4. | Intellectual Proper | ty Patents & Copyrig | hts | | |
| Do you have any | patents, whether plant | ned, pending or issued, bro | oadly relevant to the work? Yes V No | | |

Rodríguez 2



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| Relationships not covered above |
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Rodríguez 3



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Royalties: Funds are coming in to you or your institution due to your patent

Viñolas 1



| Section 1. Ident | ifying Information | | | | | |
|---|-------------------------|------------------------|-----------------------|------------------------------|----------------------------|-------------|
| 1. Given Name (First Name Nuria | | rname (Last Name as | e) | | 3. Date 15-October-2020 | |
| 4. Are you the correspondi | ing author? | es 🗸 No | - | ding Author's n Puig-Buti | | |
| 5. Manuscript Title Molecular characterization of advanced Non-Small Cell Lung Cancer patients by cfDNA analysis: experience from routine laboratory practice | | | | | | routine |
| 6. Manuscript Identifying N | Number (if you know it) | | | | | |
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| Did you or your institution any aspect of the submitted statistical analysis, etc.)? | | | | | | |
| Are there any relevant conflicts of interest? Yes V | | | | | | |
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| Section 3. Relev | ant financial activit | ies outside th | ne submitted | work. | | |
| Place a check in the approof compensation) with e clicking the "Add +" box. | ntities as described in | the instructions | s. Use one line fo | r each enti | ty; add as many lines as | you need by |
| Are there any relevant co | | ✓ Yes No | 0 | | | |
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| Name of Entity | Gran | Personal Fees? | Non-Financial Support | Other? | Comments | |
| Roche | | / | | a | dvisory board | |
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| Lilly | | √ | | √ ac | dvisory board | |
| Astra zeneca | | | | √ ac | dvisory board | |

Viñolas 2



| Section 4. Intellectual Property Patents & Copyrights |
|--|
| Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo |
| Section 5. Relationships not covered above |
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work? |
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| Dr. Viñolas reports personal fees from Roche, other from BMS, personal fees from Pfizer, other from Boeringher ingelheim, personal fees and other from Lilly, other from Astra zeneca, outside the submitted work; . |

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Reyes 1



| Section 1. | Identifying Inform | nation | | | | | |
|--|---|--|--|--|--|--|--|
| 1. Given Name (Fi | rst Name) | 2. Surname (Last Name) Reyes | 3. Date 19-October-2020 | | | | |
| 4. Are you the cor | responding author? | Yes ✓ No | Corresponding Author's Name Joan Antón Puig-Butillé | | | | |
| | 5. Manuscript Title Molecular characterization of advanced Non-Small Cell Lung Cancer patients by cfDNA analysis: experience from routine | | | | | | |
| 6. Manuscript Ider | ntifying Number (if you kr | now it) | | | | | |
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| any aspect of the s statistical analysis, | ubmitted work (including | but not limited to grants, da | a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation, | | | | |
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| of compensation clicking the "Add |) with entities as descri | bed in the instructions. Us port relationships that wer | ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e present during the 36 months prior to publication . | | | | |
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| Section 4. | Intellectual Proper | ty Patents & Copyrig | hts | | | | |
| Do you have any | patents, whether plan | ned, pending or issued, br | oadly relevant to the work? Yes V No | | | | |

Reyes 2



| Section 5. Relationships not covered above |
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| Relationships not covered above |
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|--|-----------------------------------|--|-------------------------------------|---------|--|--|
| Given Name (First Name) Noemí | 2. Surname (Last Name) Reguart | | 3. Date 10-October-2020 | | | |
| 4. Are you the corresponding author? | Yes ✓ No | Corresponding Author's Name Joan Antón Puig-Butillé | | | | |
| 5. Manuscript Title Molecular characterization of advanced Non-Small Cell Lung Cancer patients by cfDNA analysis: experience from routine laboratory practice | | | | | | |
| 6. Manuscript Identifying Number (if you kno | ow it) | | | | | |
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| Section 2. The Work Under Co | nsideration for Publi | cation | | | | |
| Did you or your institution at any time receiv any aspect of the submitted work (including b statistical analysis, etc.)? Are there any relevant conflicts of interes | but not limited to grants, da | | | c.) for | | |
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| Are there any relevant conflicts of interes | st? 🗸 Yes 🗌 No | | | | | |
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| Name of Entity | Grant? Personal No | n-Financial Other? | Comments | | | |
| MSD | | | | | | |
| AMGEM | | | | | | |
| TAKEDA | | | | | | |
| ASTRAZENECA | | | | | | |
| NOVARTIS | | | | | | |
| ABBVIE | | | | | | |
| PFIZER | | | | | | |
| GUARDANT HEALTH | | | | | | |



| | Bows and I | Non Financial | | | |
|---|-----------------------|------------------------|--------------|-------------------------------|-----------|
| Name of Entity | Grant? Personal Fees? | Non-Financial Support? | Other | Comments | |
| Boehringer Ingelheim | | | | | |
| ROCHE | | | | | |
| BMS | | | | | |
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| Section 4. Intellectual Proper | ty Patents & Co | pyrights | | | |
| December 2011 | | | | . 12 🗆 V | |
| Do you have any patents, whether plann | iea, penaing or issue | ed, broadly releva | ant to the w | ork? | |
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| below. | | | | | |
| | | | | | |
| Dr. Reguart reports personal fees from N | | | | | |
| ASTRAZENECA, personal fees from NOV GUARDANT HEALTH, personal fees from | | | | | |
| the submitted work; . | | | | | |
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Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

Puig-Butillé 1



| Section 1. | Identifying Inform | ation | | | | | |
|--|---|-----------------------------|--|----------------------------------|--|--|--|
| 1. Given Name (Fii Joan Antón | First Name) 2. Surname (Last Name) 3. Date Puig-Butillé 02-October-2020 | | | | | | |
| 4. Are you the cor | Are you the corresponding author? Yes No | | | | | | |
| 5. Manuscript Title Molecular characterization of advanced Non-Small Cell Lung Cancer patients by cfDNA analysis: experience from routine laboratory practice 6. Manuscript Identifying Number (if you know it) | | | | | | | |
| Section 2. | The Work Under Co | onsiderat | tion for Publication | | | | |
| any aspect of the s statistical analysis, | ubmitted work (including | but not lim | nt or services from a third party (government, content to grants, data monitoring board, study deserves No | | | | |
| Section 3. | Relevant financial | activities | s outside the submitted work. | | | | |
| of compensation clicking the "Add Are there any rele |) with entities as descri | bed in the port relation | e to indicate whether you have financial rele instructions. Use one line for each entity; a conships that were present during the 36 m Yes No | add as many lines as you need by | | | |
| Section 4. | Intellectual Proper | ty Pate | ents & Copyrights | | | | |
| Do you have any | patents, whether plani | ned, pendi | ing or issued, broadly relevant to the work? | Yes 🗸 No | | | |

Puig-Butillé 2



| Section 5. Relationships not covered above |
|--|
| kelationships not covered above |
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work? |
| Yes, the following relationships/conditions/circumstances are present (explain below): |
| ✓ No other relationships/conditions/circumstances that present a potential conflict of interest |
| At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships. |
| Section 6. Disclosure Statement |
| Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below. |
| Dr. Puig-Butillé has nothing to disclose. |

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.

Puig-Butillé 3